

# **VISIBILIS**

CALIBRATION AND VALIDATION OF PARTICULATE MATTER SENSORS

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#### **SUMMARY**

"Visibilis" is the Latin word for visible. It is the core message of a citizen science project started in May 2018. It is about making visible the invisible particles in the air that largely determine the quality of the air.

The aim of this study is to increase the reliability of relatively cheap particulate matter sensors by means of a model in which the output of the sensor is calibrated against the BAM1020 monitor.

The project was conducted at an official air quality measuring location in Hoek van Holland. A weatherproof case full of different types of sensors and peripheral equipment has been installed on the roof of the measuring location, next to the official particulate matter (PM) monitors BAM1020 and Leckel. Data is collected from the 16<sup>th</sup> of May 2018 till the 26<sup>th</sup> of January 2019.

The reliability of the sensor can be improved by using the multiple linear regression (MLR) technique. The PM value from the sensor is read in by the model and the output of the model is the prediction for the PM value as it was measured by the official monitor Met One BAM1020. The MLR model corrects the calibrated PM value for the temperature and relative humidity of the ambient air, which further improves the reliability of the PM sensor.

The accuracy of the calibration models for the Plantower PMSA003- and Nova SDS011 sensors is  $4 \mu g/m^3$  for PM2.5 and  $8 \mu g/m^3$  for PM10. The accuracy of the PM2.5 models is good in line with the reproducibility of  $4 \mu g/m^3$  of the BAM1020 monitor. The PM10 calibration models show an overall bad performance, possibly due to lack of sensitivity for particles >2.5  $\mu$ m. The validation of the models show an accuracy of  $4 \mu g/m^3$  for PM2.5 and  $8 \mu g/m^3$  for PM10.

The accuracy of the MLR calibration models can be further improved by performing the calibration on the particle number (PN) concentrations. This is only possible for the PMSA003- and Dylos DC1100 sensors where the Dylos DC1100 sensor only supports PN. The accuracy of the calibration models for the PMSA003 sensors is 3  $\mu$ g/m³ for PM2.5 and 6  $\mu$ g/m³ for PM10. For the Dylos DC1100 sensor the accuracies are respectively 5  $\mu$ g/m³ for PM2.5 and 9  $\mu$ g/m³ for PM10. The validation of the models for the PMSA003 sensor show an accuracy of 3  $\mu$ g/m³ for PM2.5 and 6  $\mu$ g/m³ for PM10. The validation of the models for the Dylos DC1100 sensor shows an accuracy of 5  $\mu$ g/m³ for PM2.5 and 8  $\mu$ g/m³ for PM10.

The extent to which the models are transferable between the PMSA003 sensors has been investigated. This appears to be successful for the model based on mass concentration of particulate matter. The transferability for the model based on particle concentrations can be improved by standardizing the particle classes to a standard sensor

#### **ACKNOWLEDGEMENTS**

We would like to acknowledge RIVM and particular Marita Voogt for arranging the project location at Berghaven. This gave us the unique opportunity to execute the project in the presence of official monitors.

We would like to acknowledge DCMR and particular Ed van der Gaag and Wilfred van Vliet for the pleasant cooperation and the expert assistance with the installation of the sensor case at Berghaven location.

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We would like to acknowledge Teus Hagen and Joost Wesseling for reviewing the concept version.

Without the friendly help of everyone, this project could not be successfully completed.

Visibilis: Calibration and Validation of Particulate Matter Sensors

## 1. Introduction

"Visibilis" is the Latin word for visible. It is the core message of a citizen science project started in May 2018. It is about making visible the invisible particles in the air that largely determine the quality of the air. With the help of RIVM (National Institute for Public Health and the Environment) and DCMR (Rijnmond Environmental Service), the unique opportunity was obtained to use the "Berghaven" measuring location in Hoek van Holland. A weatherproof case full of sensors and peripheral equipment has been installed on the roof of the measuring location, next to the official particulate matter (PM) monitors BAM1020 and Leckel. With an official monitor it is meant that the monitor is calibrated and maintained by DCMR.

The composition of the sensor case is as follows:

- 1 x Dylos DC1100 Pro connected (serial/USB) to Raspberry Pi
- 1 x Nova SDS011 connected (serial/USB) to Raspberry Pi
- 1 x Nova SDS011 as Luftdaten variant with NodeMCU / Wifi linked to Raspberry Pi
- 3x Plantower PMSA003 to Wemos D1 Mini Pro with Wifi linked to Raspberry Pi
- 3x Bosch meteo BME280 on Wemos D1 Mini Pro with Wifi linked to Raspberry Pi
- 1x Dallas DS18B20 One Wire GPIO connected to Raspberry Pi (outside temperature)

The set-up at this location offers the possibility to compare the performance of the sensors with each other and with the measurement results of the official measuring equipment. If we know what the performance of the sensors are and how they relate to each other, then it becomes interesting to look at the possibility of calibration and validation procedures against the official measuring equipment. The output of a particulate matter sensor is sensitive to air humidity and temperature, these parameters are included in the calibration process. The aim was to collect sufficient data to achieve a representative variation in the particulate matter and weather conditions. The aim of this study is to increase the reliability of the particulate matter sensor by means of one conversion algorithm applied to the raw sensor output data.

## 2. Location Berghaven

The project was conducted at the 'Berghaven' DCMR location in Hoek van Holland. This location is close to the Nieuwe Waterweg and surrounded by lawns. It is partly residential- and industrial area. There are no high buildings in the direct surroundings. The industrial activity is high south to the location, there is open sea west to the location and a small harbor is situated east to the location. The Nieuwe Waterweg south to the location, is a very busy route for all kind of (cruise) ships and tankers as main route to and from the port of Rotterdam. There are three restaurants located to the west of the location at a distance of 250 to 750 meters. The influence of these restaurants on the air quality at the location is estimated to be limited and is only important in a western wind.

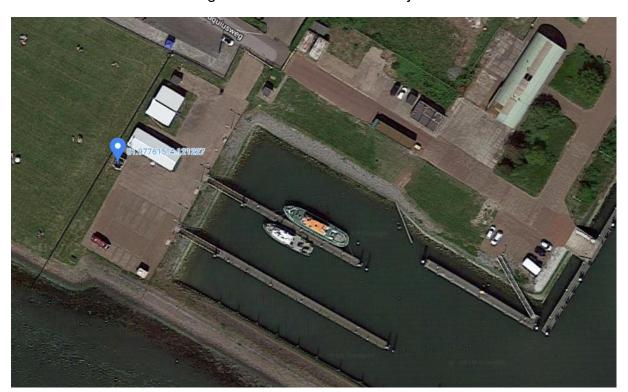
Due to the nature of this location it is expected that all kind of artificial and airborne particles can be present, also strongly depending on the wind direction. With a southeast to southwest wind, high concentrations of particulate matter from ships can occur temporarily. Because the station is close to the sea, the chances are high that salt crystals will be present in the air with a southwest to northwest wind direction.

The sensor case was installed on the flat roof of the analyzer house. The roof is covered with black rubber mats.

Visibilis: Calibration and Validation of Particulate Matter Sensors

The location of the analyzer house is presented as a blue drop in figure 1.

Figure 1: Location Visibilis Project





## 3. Sensor Case Construction

The sensor case is constructed from an original black plastic single walled Dylos case. The bottom and lower side walls of the case are provided with 20 round holes of 25 mm diameter. The holes are needed for extra ventilation in the case because a micro climate is to be expected. The case is mounted on 4 rubber door stoppers of 5 cm high, attached to every corner of the bottom of the Visibilis: Calibration and Validation of Particulate Matter Sensors

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case. This causes extra ventilation through the bottom of the case and it will prevent water leakage into the case during heavy rain or melting snow. The inside bottom and side walls of the case are covered with insect gauze, 1 mm<sup>2</sup> mesh size, to prevent insects and dust coming into the case.

The sensors are mounted in modules per sensor type, where the Dylos is mounted in a metal construction to prevent obstruction of the internal Dylos ventilator. The Raspberry Pi is used to collect the sensor data. A power supply unit is mounted inside the case to feed the different sensor modules, Raspberry Pi and Dylos. An extra ventilator is mounted inside the sensor case against one of the 20 available holes, actively sucking outside air into the case. The extra generated airflow is needed for continuous 'fresh' air into the box and also to stimulate extra ventilation through all the sensor modules and to remove heat generated by the electronics.

The Raspberry Pi is connected to the LAN via an ethernet cable. The power supply cord is led outside the case via a rubber manchet. The external Dallas temperature sensor and the LAN ethernet cable are led outside the case via an open hole.

An upside down sample crate was put on the top of the sensor case and the crate was secured by a stone tile. The crate prevents rain or snow coming into the sensor case. A picture of the sensor case is given in figure 2.



Figure 2: Sensor case

## 4. Particulate matter sensors and official monitors

At DCMR location "Berghaven" multiple particulate matter (PM) monitors are operational. The following PM monitors are installed at Berghaven:

- Sven Leckel SEQ 47/50 gravimetric Reference Sampler for PM10 (not for PM2.5)
- Met One BAM1020 for PM10 (beta ray attenuation)

Met One BAM1020 for PM2.5 (beta ray attenuation)

For this project the BAM1020 is chosen as official monitor for both the calibration and validation of the particulate matter sensors. The main reason for this choice is the fact that the BAM1020 monitors can give hourly measurements for both PM2.5 and PM10, while the Leckel monitors only give 24 hours measurements. The BAM1020 monitor has been proven to be equivalent to the Leckel monitor for 24 hours measurements for both PM2.5 and PM10.

The lower detection limit for hourly measurements of PM2.5 and PM10 with the BAM1020 is 4  $\mu g/m^3$  (24 hours: 1  $\mu g/m^3$ ). This means for hourly measurements that results <4  $\mu g/m^3$  should be considered as noise. The measurement uncertainty of the BAM1020 for hourly measurements is 4  $\mu g/m^3$  (3).



Met One BAM1020 and Sven Leckel SEQ47/50

The properties of the particulate matter sensors, as stated in the factory manuals, are given in table 1. For all particulate matter sensors, the measuring principle is based on laser technology.

Table 1: Properties of the particulate matter sensors

Sensor Type	Minimum detectable diameter	Counting efficiency	Accuracy
	(μm)	(%)	(%)
PMSA003	0.3	50 (0.3μm); 98 (≥ 0.5μm)	10
SDS011	0.3	70 (0.3μm); 98 (≥ 0.5μm)	
Dylos DC1100	0.5	≥ 90	na

All sensors have a good counting efficiency for particles  $\geq 0.5 \mu m$ . The accuracy of the Dylos DC1100 sensor is not specified by the vendor.

The Dylos DC1100 sensor gives the particle concentration for two classes (particles/0.01 cubic foot) for >0.5µm and >2.5µm. The PMSA003- and SDS011 sensors use an internal factory algorithm to convert the particle concentration to a mass concentration. In addition to mass concentration, the PMSA003 sensor also gives particle concentrations for six classes

(particles/0.1L) for >0.3 $\mu$ m, >0.5 $\mu$ m, >1.0 $\mu$ m, >2.5 $\mu$ m, >5.0 $\mu$ m and >10.0 $\mu$ m. The cut-off borders of the six classes are not known.

The properties of the meteorological sensors, as stated in the factory manuals, are given in table 2.

Table 2: Properties of the meteorological sensors

Bosch BME280	Unit	Range	Accuracy	Resolution	Noise (RMS)
Temperature	°C	-40 - 85	0.5	0.01	0.005
Relative Humidity	%	0 - 100	3	0.008	0.02
Air Pressure	hPa	300 - 1100	1.0	0.12	0.2
Dallas DS18B20					
Temperature	°C	-55 - 125	0.5	0.0625	na

The follow up for the BME280 is the BME680 with better accuracy.

## 5. Data collection

The sensor case was installed at DCMR location Berghaven on the 7<sup>th</sup> of May 2018. The first week was used to test the sensors for their stability under real time conditions. During that week small issues were resolved, mainly data collection problems on the Raspberry Pi and the data webserver. On the 16<sup>th</sup> of May 2018 the official data collection has started. The aim was to collect data for one year of time, but unfortunately the data collection was needed to put on hold on the 26<sup>th</sup> of January 2019 due to serious communication problems with the Raspberry Pi. This resulted in approximately 8 months of data collection and the winter- and spring period has not been covered completely.

Every sensor has an unique code in the data collection process. This is necessary to distinguish between the different sensor data in the database. The data of the sensors is automatically transferred to the Scapeler data server. The data of the DCMR monitors and KNMI meteorological monitors is made available via an API (Application Programming Interface). The meteorological data collection from the KNMI monitors was started from the 14th of June 2018. The DCMR monitor data are averaged hourly observations and can be retrieved from the 'Luchtmeetnet' website (<a href="https://www.luchtmeetnet.nl/">https://www.luchtmeetnet.nl/</a>). Luchtmeetnet is the Dutch governmental air quality measurement network. The KNMI data are averaged hourly observations, based on 6 individual 10 minute observations and are retrieved from the KNMI website (<a href="https://projects.knmi.nl/klimatologie/uurgegevens/selectie.cgi">https://projects.knmi.nl/klimatologie/uurgegevens/selectie.cgi</a>).

The coding of the sensors and official monitors is given in appendix 1.

One of the Plantower sensors (PMSA003\_8F33) started to generate randomly very high spikes and/or very high constant output from the 2<sup>nd</sup> of August. The pattern looked like an internal technical sensor issue. This problem got worse during the rest of the month. On the 9<sup>th</sup> of September it was decided to stop the data collection of this sensor, because the abnormal output was present for more than 50% of the time. The sensor was not replaced by a new one.

The PMSA003-, SDS011 basic- and Dylos DC1100 sensors operated in continuous mode where every second the data was sent to the Raspberry Pi. The Raspberry Pi computed 1 minute averages and sent the data to the 'ApriSensor' server (https://www.scapeler.com/index.php/diensten/aprisensor/).

The 'Luftdaten' sensor operated with specific firmware (<a href="https://luftdaten.info/en/home-en/">https://luftdaten.info/en/home-en/</a>) where every 2.5 minutes the measurement was started and stopped. The data was uploaded to the 'Luftdaten' cloud server and subsequently downloaded by the 'ApriSensor' server.

## 6. Database

The data is converted to averaged hourly observations by averaging the 1 minute or 2.5 minute observations. The hourly observation of e.g. 10 AM is the average of 60 minute observations from 9:01 AM till 10:00 AM. The database is compiled on the basis of hourly observations, where one hour is considered as one 'sample'. Every sample has a corresponding value for the official monitors and sensors. The database consists of 6131 samples collected in the period 16 May 2018 0:00 AM till 26 January 2019 10:00 AM. In total 13 "time periods" are defined in the database, each period has a duration of approximately 2 to 3 weeks. The time periods are chosen chronologically.

At random moments in time, the sensors produced missing data, in most occasions for just one minute. The missing data is not taken into account for further analysis. Because of the high resolution of the data, missing data has negligible effect on the hourly averages.

At random moments in time, the DCMR monitors and/or the sensors caused missing or constant data for longer periods. This was caused by LAN-, Wifi- or Raspberry Pi communication problems. This type of data is considered as invalid data and removed from the database.

Sudden very high or very low abnormal values, out of the trend, are considered as spikes and removed from the database. This was for example an issue for the BME280 air pressure sensor returning zero values.

The Plantower PMSA003 sensor has the ability to output the particulate matter in two different ways, that is the concentration of PM1, PM2.5 and PM10 in  $\mu g/m^3$  and the concentration in particles/0.1 liter (particle number PN). The PN is given for six classes, for >0.3  $\mu m$ , >0.5  $\mu m$ , >1.0  $\mu m$ , >2.5  $\mu m$ , >5.0  $\mu m$  and >10.0  $\mu m$ . The definition of PN is the number of particles per 0.1 liter of air having a diameter greater than the specified value. For the three PMSA003 sensors, both the PM- and the PN data is incorporated into the database and are taken into account for the calibration and validation of the PMSA003 sensor against the BAM1020.

Data is collected from three Bosch BME280 meteorological sensors. A preliminary study of the Bosch sensors showed a strong consistency in the data for temperature, relative humidity and air pressure. Therefore it was decided to incorporate data of one particular Bosch sensor in the database (BME280\_8F33 sensor 1).

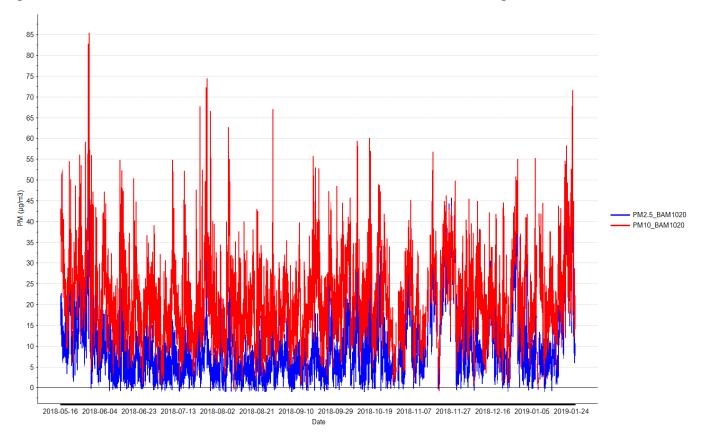
## 7. Data exploration

The construction of the database, exploration, statistics, calibration and validation of the models is worked out by use of the chemometrics software package The Unscrambler® X from CAMO Norway (<a href="https://www.camo.com/unscrambler/">https://www.camo.com/unscrambler/</a>). For all XY-correlation (scatter) plots and model validation plots, the black line is the target line (Y=X) and the blue line is the regression line. For all model calibration plots, the black line is the target line and the red line is the regression line.

## 7.1 Particulate Matter

The BAM1020 monitor data for PM2.5 and PM10 is presented in figure 1.

Figure 1: PM2.5 & PM10 data for the BAM1020 monitors at DCMR Berghaven



The average concentration for PM2.5 and PM10 are respectively 9.8  $\mu$ g/m³ and 21.3  $\mu$ g/m³ with a standard deviation of respectively 8.5  $\mu$ g/m³ and 10.3  $\mu$ g/m³. In general the PM10 value is higher than the PM2.5 value, except for the periods 17 November till 28 November 2018 and 2 January till 26 January 2019 where the PM10 value is almost equal to the PM2.5 value. There is no period with extreme high or low PM concentrations. The variation in concentration is randomly distributed among the seasons. Despite the fact that the project period has not met 1 year of runtime, it is assumed that the variation within the 8-month period is indicative for the project.

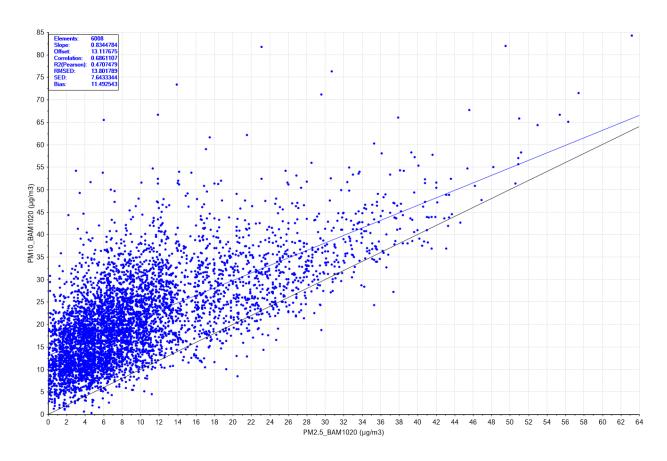
The correlation between the BAM1020 hourly data for PM2.5 and PM10 is investigated and based on 6008 data pairs.

- the maximum PM2.5 value is 63 μg/m³
- the maximum PM10 value is 85 μg/m³
- the majority of the data for PM2.5 is < 15 μg/m<sup>3</sup>

- the majority of the data for PM10 < 25 μg/m³</li>
- the squared correlation coefficient (R2) is weak

The correlation is presented in figure 2.

Figure 2: Correlation between PM2.5 & PM10 for the BAM1020 monitors at DCMR Berghaven



The correlation between the raw PM2.5 and PM10 output is also investigated for the sensors. The raw output is directly retrieved by the sensor and not corrected by any pretreatment. The Dylos sensor does not output PM values, therefore the correlation is investigated between particles > 0.5  $\mu$ m and particles > 2.5  $\mu$ m.

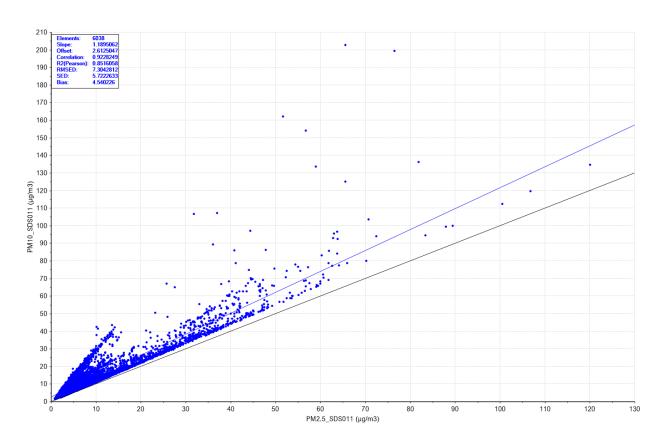
The correlation plots are presented in figure 3.

The correlations of the PMSA003 sensors show similar performance. The PMSA003\_9492 plot is taken as a representative example for the other two sensors.

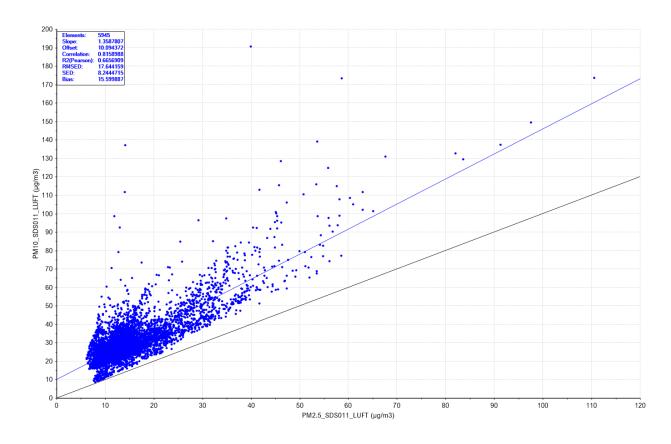
The PM correlation plots for the sensors all show a 'V-shaped' curve. The V shape is caused by multiple correlation lines present in different data sets. For the Dylos DC1100 sensor, the particle concentrations (PN) are taken as input variables. The V shape is also visible in case the PN classes of the other sensors are taken as input variables. Possible explanations for the V shape could be the origin of the airborne particles or humidity effects or a combination of both. The sensitivity of the sensor laser will be influenced by the composition of the airborne particles. For example, the size of salt crystals is effected by humidity, this effect is known as 'humidity growth'. Location Berghaven is very close to the sea, salt crystals (NaCl) are definitely a part of the airborne particles for this location.

Figure 3: Correlation plots between PM2.5 & PM10

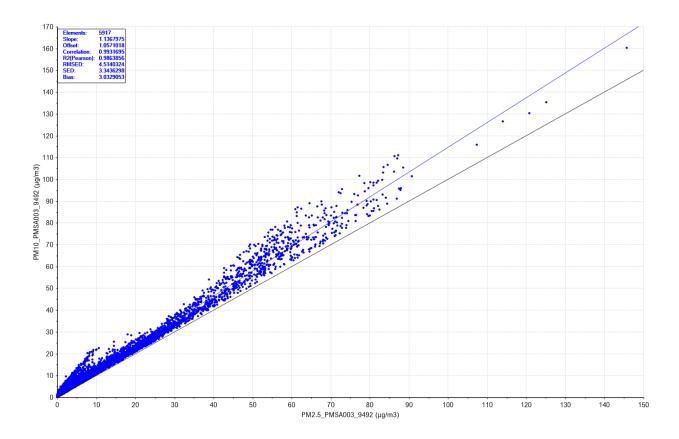
## Nova SDS011



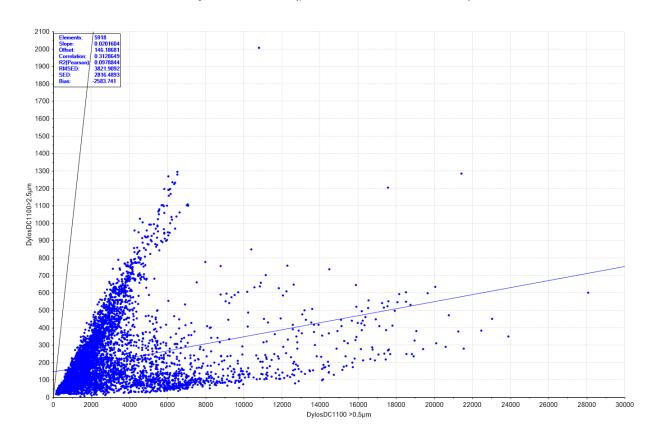
## Nova SDS011 'Luftdaten'



## Plantower PMSA003\_9492



Dylos DC1100 (particles/0.01 cubic foot)



A comparison between the BAM1020 and PM sensors for respectively PM2.5 and PM10 is presented in figure 4 and 5. The Y-axis in figure 4 is cut off at 100 µg/m³ and in figure 5 at 140 Visibilis: Calibration and Validation of Particulate Matter Sensors

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μg/m³ to achieve better resolution between the lines. During only a few hours, PM2.5 reached a value of a maximum of 150 μg/m³ and PM10 a value of a maximum of 205 μg/m³

The PM2.5 concentration for the Dylos DC1100 is estimated by an empirical equation supplied by the vendor. The raw output of the Dylos DC1100 is particles/0.01 cubic foot. The equation, as specified by Dylos, is as follows:

PM2.5 Dylos DC1100 ( $\mu$ g/m³) = (particles > 0.5  $\mu$ m minus particles > 2.5  $\mu$ m)/250.

The original Dylos manual specifies a conversion factor of 150, but this was later revised to 250 and this factor is used in this report

For PM10 this estimation equation is not available. Hence, the Dylos DC1100 is not presented in the graph for PM10.

Figure 4: Comparison PM2.5 between BAM1020 and sensors.

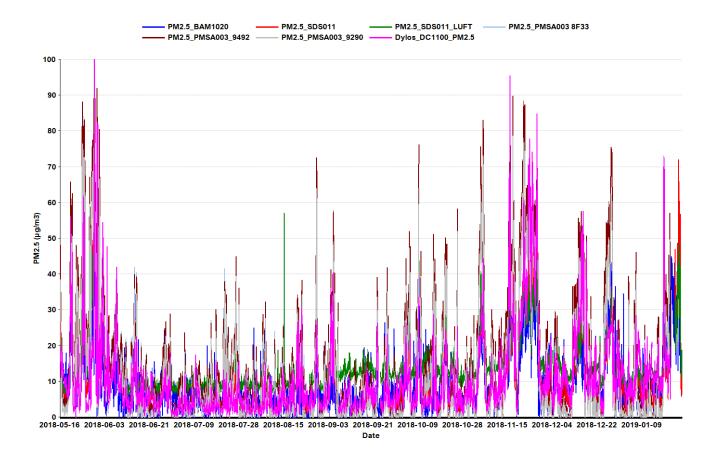
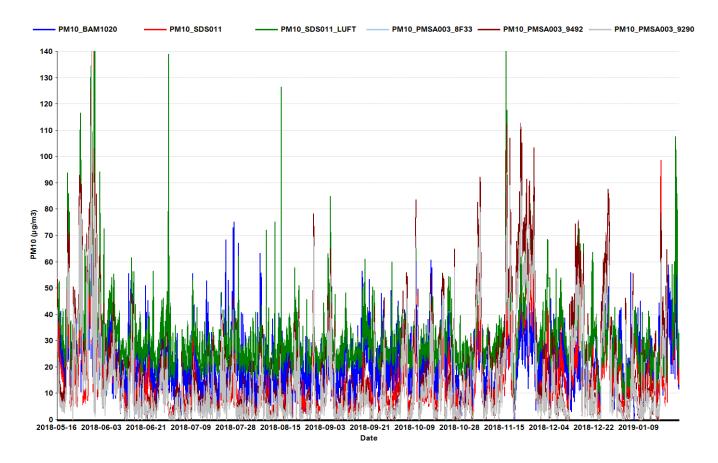


Figure 5: Comparison PM10 between BAM1020 and sensors.



In general, the sensors are biased to each other and the BAM1020. This is more dominant for PM10. There are also multiplicative effects present.

To get a better insight in these effects, the differences are calculated between the sensors and the BAM1020. In the ideal situation, the bias should be zero and the differences should be scattered randomly around zero. The differences are presented in figure 6 and 7 for respectively PM2.5 and PM10.

Figure 6: Difference PM2.5 between sensors and BAM1020.

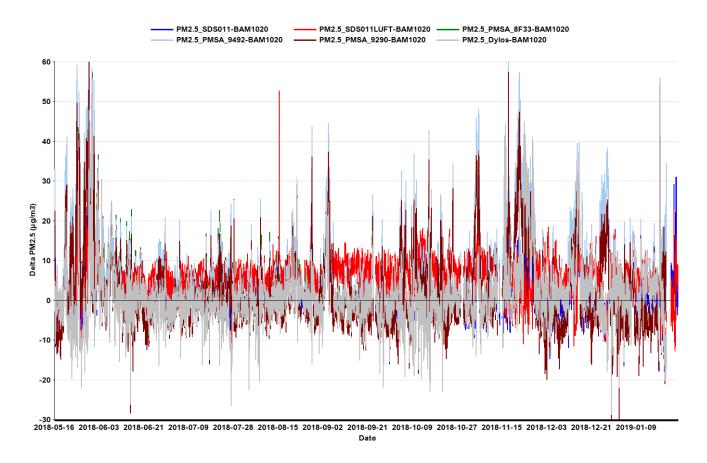
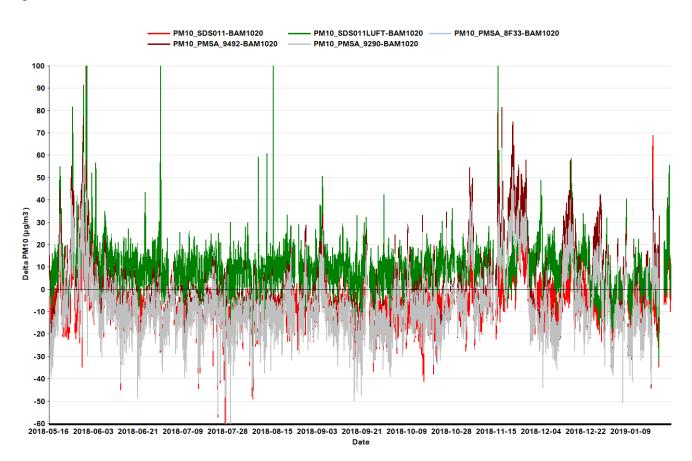


Figure 7: Difference PM10 between sensors and BAM1020.



For PM2.5, the SDS011 'Luftdaten' sensor shows the largest positive bias to the BAM1020 and the PMSA003\_9290 sensor shows the largest negative bias. A period in May 2018 and November 2018 show higher differences to the BAM1020. This is valid for both PM2.5 and PM10. A possible explanation could be the composition of the airborne particles in those particular periods. The KNMI data from Hoek van Holland shows no correlation between wind direction and increasing bias. There is a weak correlation between the differences and the BAM1020 PM value, this is valid for both PM2.5 and PM10. The higher the BAM1020 PM value, the higher the difference against the PM value of the sensors. This is a multiplicative effect.

Like for PM2.5, the SDS011 'Luftdaten' sensor shows for PM10 the largest positive bias to the BAM1020 and the PMSA003\_9290 sensor shows the largest negative bias.

In figure 8, we look more closely into the differences for PM2.5 for the three PMSA003 sensors. It can be clearly seen the sensors show similar performance. This pattern is also visible for PM10, but not shown in a graph.

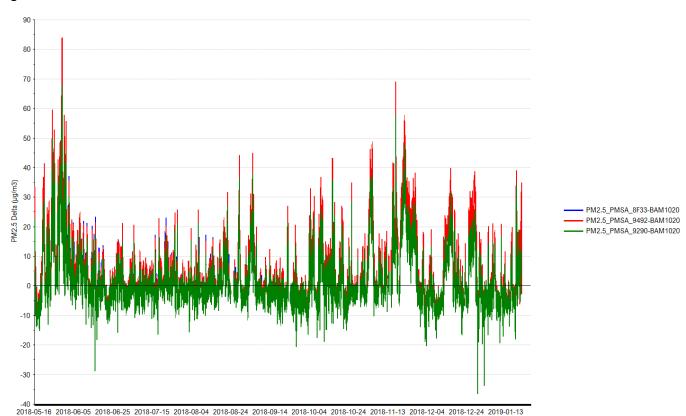
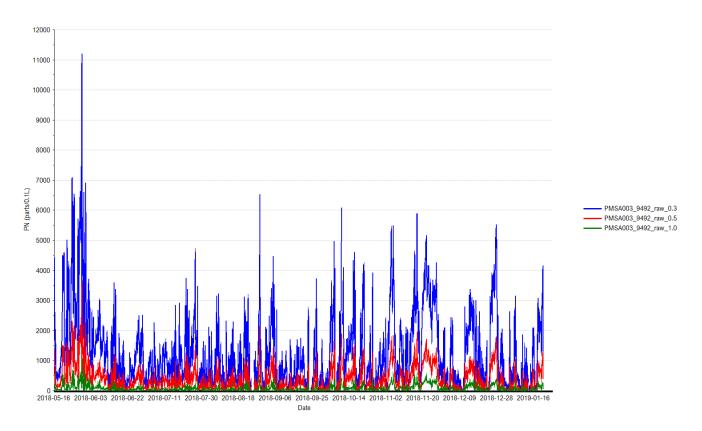


Figure 8: Difference PM2.5 between PMSA003 sensors and BAM1020.

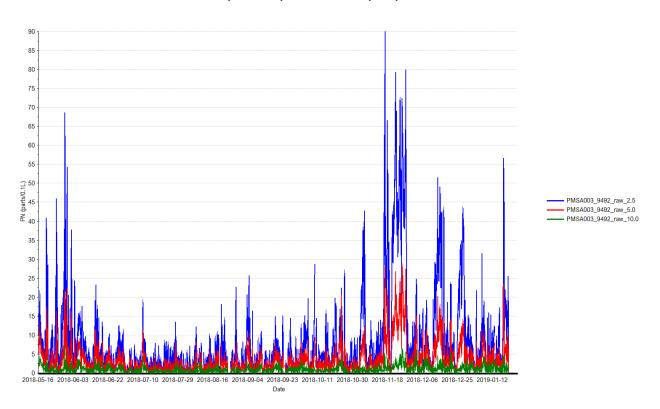
The Plantower PMSA003 sensor measures also the PN number for six classes. The three PMSA sensors show similar performance, therefore sensor PMSA003\_9492 is taken as a representative example for the plots. The data for the six PN classes is presented in figure 9.

Figure 9: Particle number data of the Plantower PMSA003\_9492 sensor.

## 0.3µm, 0.5µm and 1.0µm particles



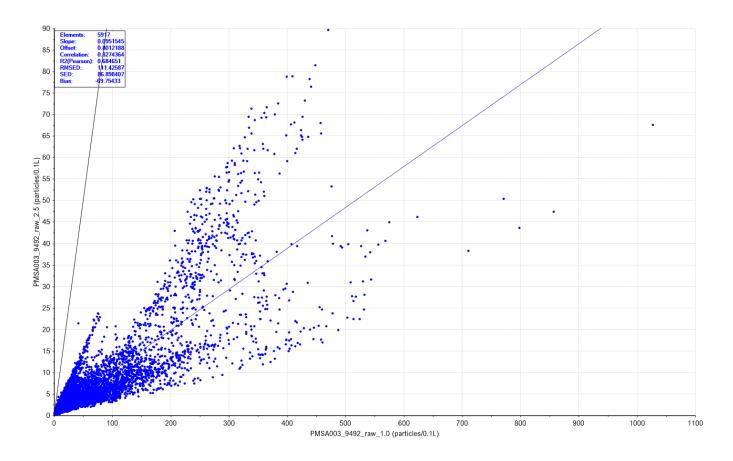
1.0µm, 2.5µm and 10.0µm particles



As we have seen before, the correlation plots between PM2.5 and PM10 show a 'V-shaped' curve. This effect is also visible for each correlation between randomly chosen PN classes, but the effect

is more dominant for the classes >1.0µm. As an example, the correlation plots between PN 1.0µm and PN 2.5µm for the Plantower sensor PMSA003\_9492 is presented in figure 10.

Figure 10: Correlation plot PN 1.0µm versus PN 2.5µm for sensor PMSA003\_9492.



The importance of PN number for the calibration of the PMSA003 sensors will be explained in more detail in 8.2.

The correlation of the PMSA003 sensors 9290 and 8F33 against 9492 is investigated for all PN classes. The results are given in table 3.

Table 3: Between sensor correlation statistics for the PN classes of the PMSA003 sensors.

	Class	N	Slope	Offset	R2 (pearson)	SED	Bias
PMSA9290	0.3µm	5917	1.034	75.5	0.9954	92.2	115.4
versus 9492	0.5µm	5917	1.046	23.6	0.9952	31.7	40.0
	1.0µm	5917	1.112	12.1	0.9863	14.8	18.7
	2.5µm	5917	1.790	-0.95	0.9244	5.57	3.09
	5.0µm	5917	1.363	-0.92	0.8754	1.57	0.27
	10.0μm	5917	1.591	0.053	0.8463	0.386	0.384
PMSA8F33	Class	Ν	Slope	Offset	R2 (pearson)	SED	Bias
versus 9492	0.3µm	2526	1.046	32.5	0.9923	123.4	90.0
	0.5µm	2526	1.012	3.83	0.9929	33.8	8.7
	0.0 p	2320	1.012	5.05	0.3323	33.0	0.7
	1.0μm	2526	1.073	-1.12	0.9967	8.61	3.92
	•						
	1.0µm	2526	1.073	-1.12	0.9967	8.61	3.92

N : number of observations

Slope : steepness of the correlation line

Offset : cut off on the Y-axis

R2 pearson : Pearson's correlation coefficient
 SED : Standard Error of Determination
 Bias : average difference between Y and X

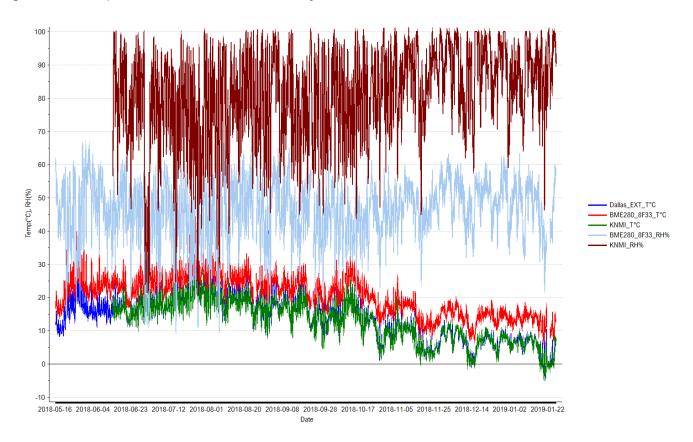
Due to technical problems with the 8F33 sensor, the number of observations is much less compared to the other sensors. The best correlation is achieved for the lowest PN classes 0.3  $\mu$ m and 0.5  $\mu$ m. The lowest classes also deliver the highest PN values. The slope increases among the PN classes with the highest value for the 10  $\mu$ m class. This is an indication that the sensors differ in terms of sensitivity, but also that the cut-off borders in the PN classes is different.

## 7.2 <u>Meteorology</u>

For the meteorological parameters temperature and relative humidity, the data of the official KNMI monitors and the sensors is presented in figure 11.

It can be concluded, a micro climate is present inside the sensor case, caused by the heat produced by the sensors and peripheral equipment, but also caused by heat absorption by the black surface of the sensor case. The inside temperature shows a bias of approximately +7°C compared to the external Dallas sensor. The external Dallas sensor correlates good with the official KNMI temperature monitor. The inside relative humidity shows a bias of approximately -30% compared to the official KNMI monitor. The inside temperature varies between +6.1°C and +47.9°C and the relative humidity between 10.4% and 66.4% (drying effect).

Figure 11: Temperature and relative humidity data of KNMI monitors and sensors

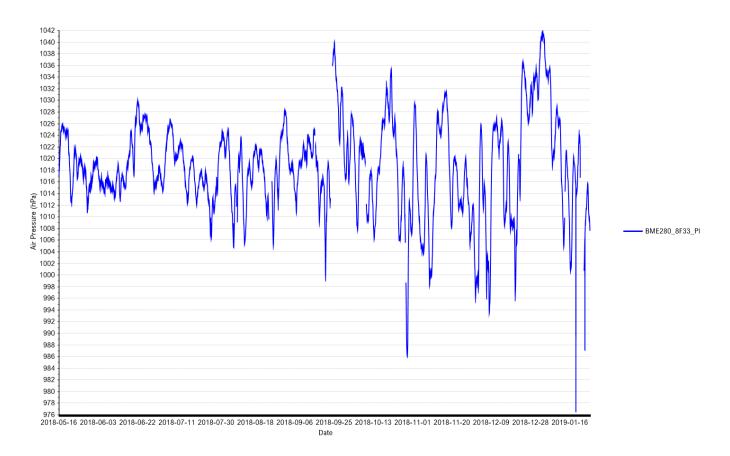


Studies have proven the impact of humidity and/or temperature on the output of laser based PM sensors<sup>1,2</sup>. Temperature and relative humidity are also taken into account for the calibration and validation of the PM sensors against the BAM1020. Air pressure, wind direction and wind speed are not included in the calibration and validation of the models, because these parameters most likely have no influence on the sensitivity of the sensors. Due to the micro climate in the sensor case, the inside variations in temperature and relative humidity do not match the variations outside the sensor case.

The Bosch BME280 sensor also measures the air pressure. The lowest recorded value is 977.2 hPa and the highest recorded value is 1041.5 hPa. The air pressure is presented in figure 12.

Three BME280 sensors were in use for the data collection. A short study on the output of the three BME280 sensors (id 8F33, 9290 and 9492 see appendix 1) shows that sensor 8F33 gives the average output of the three BME280 sensors (not discussed in this report). The meteorological data used for the calibration and validation of the PM sensors is derived from the 8F33 sensor.

Figure 12: Air pressure Bosch BME280 sensor



## 8. Calibration & Validation

## 8.1 Plantower algorithm

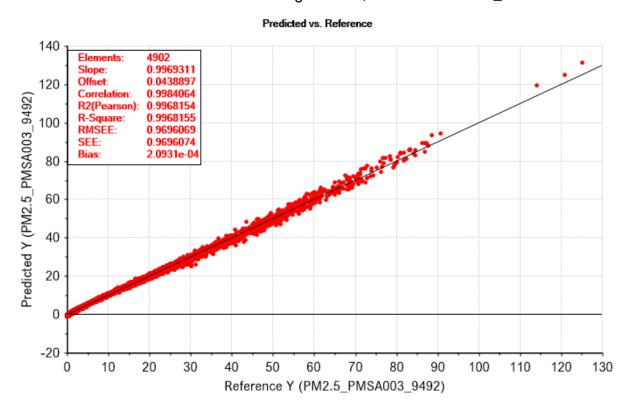
The sensor firmware converts the PN classes into a PM2.5- and PM10 concentration. The supplier was not willing to provide information about this algorithm. The algorithm is simulated by calibration of the six PN classes against the PM2.5- or PM10 concentration in µg/m³. As calibration type, the MLR (Multiple Linear Regression) function is used, assuming all PN classes have a linear relationship with PM2.5 and PM10. Within the MLR models, the six PN classes are used as X-variables. The MLR calibration results for the three PMSA003 sensors show similar performance, therefore sensor PMSA003 9492 is taken as a representative example in the plots.

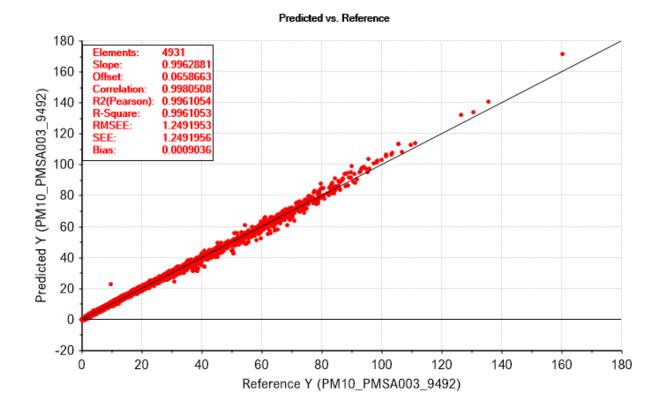
The MLR models are presented in figure 13 where the X-axis is the original sensor PM value and the Y-axis is the predicted PM value by the MLR model.

For both PM2.5 and PM10, a very strong correlation, good linearity and perfect slope is present. The Y-residuals tend to bias above the black target line (Y=X) when PM2.5 and PM10 > 90  $\mu$ g/m³, but in this region there is also lack of data. The accuracy of the calibrations is expressed as RMSEE (Root Mean Square Error of Estimation) and is 1.0  $\mu$ m³ for PM2.5 and 1.2  $\mu$ g/m³ for PM10. It looks like the MLR models are a good representation of the internal factory algorithm.

The MLR models are validated with an independent set. The accuracy of the validation is expressed as RMSEP (Root Mean Square Error of Prediction), the values are 1.0 µg/m³ for PM2.5 and 1.2 µg/m³ for PM10. The RMSEP values are very good in line with the RMSEE values of the calibration models.

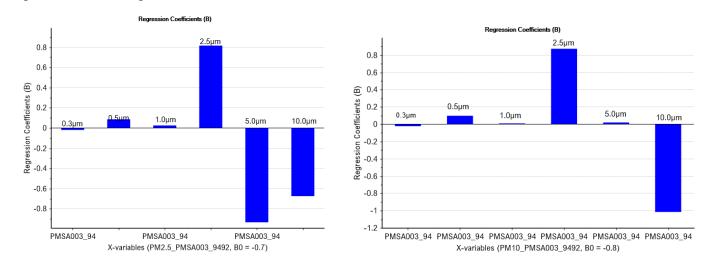
Figure 13: MLR models for PM2.5 and PM10 against PN, sensor PMSA003\_9492





The regression coefficients of the MLR models show a remarkable difference. The PN class 5.0µm shows the largest negative value for the PM2.5 model while for the PM10 model this class has almost no influence. For both PM2.5 and PM10 models, the PN classes 0.5µm, 2.5µm and 10.0µm show the most influence on the models. The regression (B) coefficients for the PM2.5- and PM10 model are presented in figure 14.

Figure 14: MLR regression coefficients for PM2.5 and PM10 for sensor PMSA003 9492



The standard errors in the regression coefficients and intercepts are given in table 4.

Table 4: Standard errors in the regression coefficients and intercepts of the MLR models PM2.5 and PM10

Variable	B-coefficient PM2.5	STDerr PM2.5	B-coefficient PM10	STDerr PM10
Intercept	-0.707	0.045	-0.779	0.057
PMSA003_9492_raw_0.3	-0.019	0.002	-0.022	0.003
PMSA003_9492_raw_0.5	0.087	0.008	0.099	0.010
PMSA003_9492_raw_1.0	0.025	0.002	0.011	0.002
PMSA003_9492_raw_2.5	0.817	0.011	0.874	0.014
PMSA003_9492_raw_5.0	-0.933	0.042	0.021	0.051
PMSA003_9492_raw_10.0	-0.674	0.075	-1.015	0.093

The true internal factory algorithm to convert PN into PM is not known, but it can be assumed, it is a lookalike MLR function where every PN class contributes to the PM concentration.

## 8.2 Calibration of the sensors against BAM1020

The use of a direct correlation between the PM sensor output and the BAM1020 has little value. The influence of relative humidity and temperature causes a bad fit and poor accuracy of the calibration line.

The aim of this project is to increase the reliability of the particulate matter sensor by means of a model in which the output of the sensor is calibrated against the BAM1020 monitor. A specific model applies to PM2.5 and PM10. Because both relative humidity and temperature of the air influence the output of the sensor, these variables are included in the calibration process. The calibration is performed for each sensor and the robustness of the model is determined by use of validation.

The database is split into a calibration- and validation set. Every 6<sup>th</sup> sample belongs to the validation set (N=1022), the remaining samples belong to the calibration set (N=5109). One sample is an hourly observation of all available data. The calibration set is used for construction of the models, the validation set is used for the independent validation of the models.

For the calibration of the sensors, it is assumed that the BAM1020 gives the true value. It is also assumed that the influence of RH% and T on the sensor output can be explained by a linear model. For the calibration, the MLR type was chosen and that stands for Multiple Linear Regression. There are many more regression techniques available like PLS (Partial Least Squares) or non-linear regression techniques or even machine learning techniques (e.g. Random Forest). For this project the MLR method is chosen to keep it less complex and explainable. As experiment, some calibrations were computed using PLS, but the results were not significantly better compared to MLR. These results are not part of this report.

The construction of the MLR model looks like this example below:

#### PM2.5\_BAM1020 = constant + a\*PM2.5\_sensor + b\*RH% + c\*T

a : regression coefficient of PM2.5 output in μg/m³
 b : regression coefficient of Relative Humidity in %

c : regression coefficient of Temperature in °C

• constant : a constant number

PM2.5\_BAM1020 is the Y-variable. PM2.5\_sensor, RH% and T are the X-variables. The direction of the regression coefficients and constant can be positive or negative. The value of the regression coefficient and constant determines how important they are for the model.

The MLR model can be seen as an arithmetic post calculation on the output value of the sensor. In this way, the sensor 'predicts' the PM value as if it was measured by the BAM1020. The MLR model has a certain accuracy and it can improve the reliability of the sensor, because the influence of RH% and T are also taken into account. For each sensor a specific MLR model is constructed and validated to test the robustness of the MLR model.

The MLR calibrations are non-orthogonal regressions and are performed in two ways. The first way is calibration against the BAM1020 by using the PM output, RH% and T as X-variables. This is worked out for all sensors except for the Dylos DC1100. The second way is calibration against the BAM1020 by using the Particle Number (PN) classes, RH% and T as X-variables. This is worked out for the Plantower PMSA003 sensors and the Dylos DC1100 sensor. As discussed in 7.1, the PMSA003 sensor delivers the PN of six classes and the DC1100 delivers the PN for two classes. For the PMSA003 sensor this way returns into a total of 8 X-variables and for the DC1100 into a total of 4 X-variables.

The properties of the MLR calibrations based on PM, RH% and T as X-variables are given in table 5. The errors of the regression coefficients are given in the lower table.

Table 5: properties MLR models against BAM1020 with PM, RH% and T as X-variables

	PMSA0	PMSA003_8F33		PMSA003_9290		3_9492	SDS01	L_basic	SDS011_I	Luftdaten
	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10
N	2096	2082	4834	4822	4837	4854	4905	4915	4865	4853
Range (µg/m3)	0-63.2	1.8-84.3	0-63.2	0-84.3	0-63.2	0-84.3	0-63.2	0-84.3	0-63.2	0-84.3
Slope	0.755	0.461	0.772	0.385	0.777	0.366	0.748	0.464	0.700	0.414
Offset (µg/m3)	1.93	10.9	2.15	12.8	2.10	13.4	2.44	11.4	2.92	12.4
R-square	0.754	0.460	0.772	0.385	0.777	0.365	0.748	0.462	0.699	0.413
Accuracy (μg/m3)	3.3	7.1	3.8	8.0	3.7	8.0	4.2	7.5	4.5	7.7
В0	20.6	27.5	15.1	16.0	14.8	14.7	20.0	19.3	14.5	16.3
RegrCoef_PM	0.4076	0.4451	0.4416	0.3728	0.3834	0.3151	0.6399	0.5719	0.8184	0.5105
RegrCoef_RH%	-0.2261	-0.2318	-0.1431	-0.0940	-0.1498	-0.0948	-0.2465	-0.2425	-0.2326	-0.2114
RegrCoef_T°C	-0.2997	-0.1111	-0.1935	0.1929	-0.1905	0.2445	-0.2653	0.2267	-0.3172	-0.0512

#### Errors regression coefficients

	PMSA00	03_8F33	PMSA00	PMSA003_9290		3_9492	SDS011_basic		SDS011_Luftdaten	
	PM2.5	PM10	PM2.5	PM2.5 PM10 P		PM10	PM2.5	PM10	PM2.5	PM10
B0	0.99	2.20	0.44	0.91	0.44	0.97	0.49	0.88	0.54	0.91
RegrCoef_PM	0.0051	0.0107	0.0036	0.0068	0.0031	0.0060	0.0057	0.0088	0.0083	0.0087
RegrCoef_RH%	0.0108	0.0234	0.0068	0.0137	0.0067	0.0145	0.0075	0.0137	0.0082	0.0141
RegrCoef_T°C	0.0234	0.0511	0.0098	0.0201	0.0097	0.0213	0.0106	0.0196	0.0116	0.0195

#### Explanation of the parameters:

N : number of observations

• Range : the min-max range of the model

Slope : steepness of the model regression line

Offset : cut off on the Y-axis

• R-square : correlation coefficient of the regression line (R<sup>2</sup>-pearson)

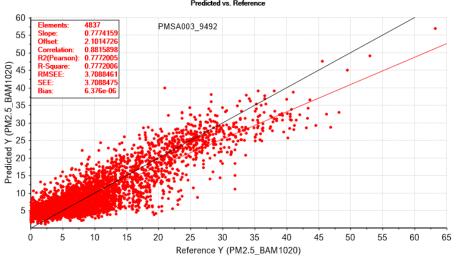
Accuracy : Root Mean Square Error of Estimation (RMSEE)

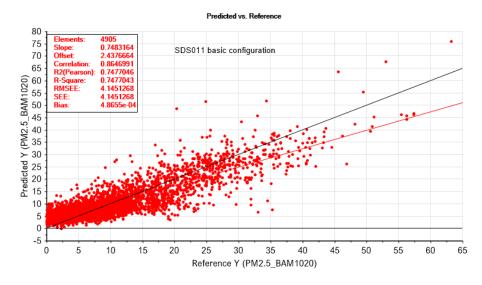
B0 : Constant

The accuracy among the different sensors is comparable for both PM2.5 and PM10. The PMSA003 sensors perform slightly better for PM2.5, resulting in a better slope and R². The MLR calibrations for PM10 perform significantly worse compared to PM2.5. The accuracy of the PM2.5 models is approximately 4  $\mu$ g/m³ and this is equal to the reproducibility of the BAM1020 for hourly observations. The accuracy for the PM10 models is approximately 8  $\mu$ g/m³.

A graphical presentation of the MLR models from table 5 are given in figure 15 for PM2.5 and in figure 16 for PM10. For the PMSA003 sensors, only the 9492 is presented. For all MLR models the black line is the target line (Y=X) and the red line is the slope.

Figure 15: MLR models PM2.5 against BAM1020 with PM, RH% and T as X-variables





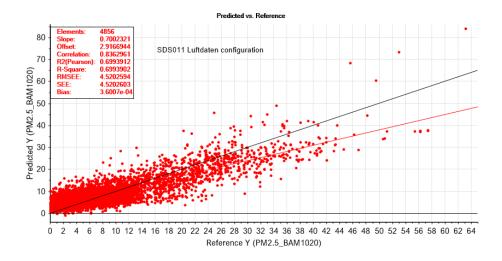
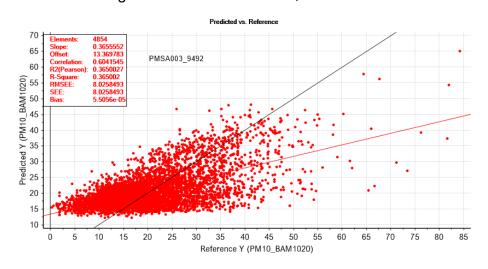
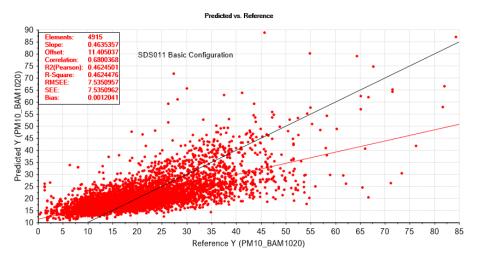
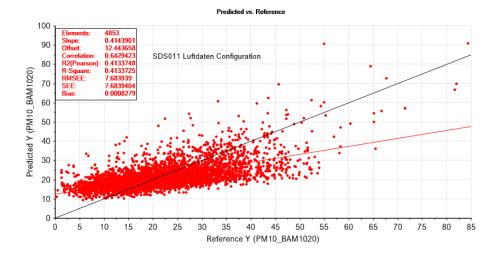


Figure 16: MLR models PM10 against BAM1020 with PM, RH% and T as X-variables







The properties of the MLR calibrations based on PN, RH% and T as X-variables are given in table 6. The errors of the regression coefficients are given in the lower table.

Table 6: Properties MLR models against BAM1020 with "PN+RH+T" as X-variables

	PMSA0	PMSA003_8F33		PMSA003_9290		PMSA003_9492		Dylos_DC1100		Dylos_DC1100	
	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	
N	2093	2100	4836	4834	4836	4836	4789	4801	4786	4808	
Range (µg/m3)	0-63.2	1.8-84.3	0-63.2	0.3-84.3	0-63.2	0.3-84.3	0-63.2	0.3-81.7	0.0-63.2	0.3-84.3	
Slope	0.797	0.686	0.835	0.656	0.833	0.664	0.641	0.183	0.634	0.337	
Offset (µg/m3)	1.61	6.44	1.56	7.24	1.58	7.06	3.36	17.1	3.42	13.9	
R-square	0.795	0.684	0.834	0.655	0.832	0.663	0.640	0.182	0.633	0.336	
Accuracy (μg/m3)	3.0	5.7	3.2	5.8	3.2	5.7	4.6	8.8	4.6	8.0	
В0	16.0	10.9	12.8	14.4	12.4	16.9	12.6	23.9	12.7	13.9	
RegrCoef_particles (>0.5μm minus >2.5μm)							0.0023				
RegrCoef_particles (>2.5μm)								0.0252			
RegrCoef_particles (>0.5μm)									0.0023	0.0022	
RegrCoef_0.3μm	-0.0209	-0.0054	0.1327	0.1810	0.1700	0.2423					
RegrCoef_0.5μm	0.0817	0.0420	-0.4298	-0.5911	-0.5440	-0.7764					
RegrCoef_1.0μm	-0.0368	-0.0442	0.0792	0.1502	0.0840	0.1293					
RegrCoef_2.5μm	0.3975	-1.2745	-0.0143	-1.7346	0.0322	-0.5357					
RegrCoef_5.0μm	1.2759	11.3487	1.2229	3.6006	-0.0275	-0.3541					
RegrCoef_10.0μm	1.4172	4.4872	-1.4686	7.0791	2.2161	11.4704					
RegrCoef_RH%	-0.2228	-0.2464	-0.1759	-0.2714	-0.1790	-0.2858	-0.1988	-0.2170	-0.2125	-0.1538	
RegrCoef_T°C	-0.2260	0.2352	-0.2070	0.1633	-0.2508	-0.0083	-0.0026	0.0942	0.0042	0.3762	

#### Errors regression coefficients

	PMSA00	03_8F33	PMSA00	03_9290	PMSA00	03_9492	Dylos_	DC1100	Dylos_	DC1100
	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10
В0	0.93	1.78	0.41	0.76	0.44	0.78	0.56	1.04	0.56	0.97
RegrCoef_particles (>0.5μm minus >2.5μm)							2.66E-05			
RegrCoef_particles (>2.5μm)								7.72E-04		
RegrCoef_particles (>0.5μm)									2.66E-05	4.52E-05
RegrCoef_0.3μm	0.0088	0.0169	0.0064	0.0118	0.0082	0.0146				
RegrCoef_0.5μm	0.0277	0.0530	0.0218	0.0399	0.0269	0.0480				
RegrCoef_1.0μm	0.0072	0.0139	0.0073	0.0133	0.0054	0.0097				
RegrCoef_2.5μm	0.0872	0.1674	0.0639	0.1167	0.0369	0.0658				
RegrCoef_5.0μm	0.3940	0.7565	0.1310	0.2429	0.1346	0.2402				
RegrCoef_10.0μm	2.1488	4.1236	0.3459	0.6504	0.2460	0.4394				
RegrCoef_T°C	0.0222	0.0427	0.0092	0.0169	0.0102	0.0182	0.0128	0.0231	0.0129	0.0222
RegrCoef_RH%	0.0098	0.0188	0.0063	0.0114	0.0064	0.0114	0.0084	0.0167	0.0085	0.0146

#### **PMSA003**

For the PMSA003 sensors it can be concluded that the models based on "PN+RH+T" show better overall performance compared to the models based on "PM+RH+T". The accuracy of the models for PM2.5 improves from averaged 3.6 to 3.1 µg/m³. The accuracy of the models for PM10 improves from averaged 7.7 to 5.7 µg/m³. The regression coefficients for the models based on "PM+RH+T" show comparable signs and values among the three sensors. Sensor 8F33 shows more deviating regression coefficients, but this is due to a much smaller calibration set. The regression coefficients for the models based on "PN+RH+T" do not show comparable signs and values. It is not clear why this is the case, but it seems, that it is not possible to maintain a 'general' model with averaged regression coefficients.

#### **SDS011**

For the SDS011 sensors the models based on "PN+RH+T" could not be constructed, because this sensor does not provide the PN classes.

#### Dylos DC1100

For the Dylos DC1100 sensor, only the models based on "PN+RH+T" could be constructed, because this sensor does not provide PM values.

The PN classes for the Dylos DC1100 sensor are different compared to the Plantower PMSA003 sensor. The Dylos manual gives information about the relation between the PN class and PM.

According to the manual, "PN class >0.5µm" minus "PN class >2.5µm" is a measure for PM2.5 (*PM2.5-Dylos*). This class can be converted to PM2.5 after dividing by a factor (see 7.1). The Dylos manual does not give a measure for PM10, based on a PN class.

The MLR model for PM2.5 is calibrated against the PN class as proposed by the manual, but also against the "PN class >0.5µm" (*PMtotal\_Dylos*), because in theory this class should cover all measured particles which could have a potential contribution to PM2.5.

The MLR model for PM10 is calibrated against the "PN class >2.5μm" (*PM10-Dylos*), but also against the "PN class >0.5μm" (*PMtotal\_Dylos*), because in theory this class should cover all measured particles which could have a potential contribution to PM10.

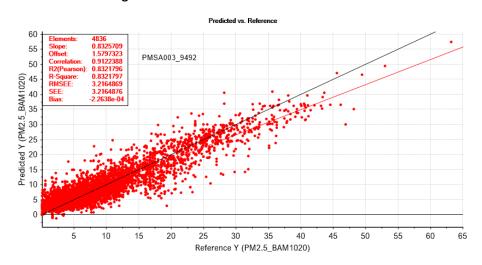
In summary, the MLR calibrations are constructed for the following PN classes:

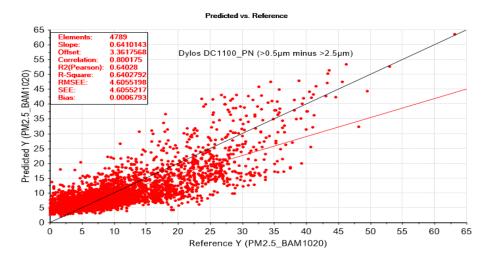
- 1. PM2.5-Dylos = PN class >0.5μm minus PN class >2.5μm
- 2. PM10-Dylos = PN class  $> 2.5 \mu m$
- 3. PMtotal-Dylos = PN class >0.5µm (PM2.5 and PM10)

The overall performance of the Dylos models are worse compared to the PMSA003 sensors. This can be due to the fact that the Dylos sensor provides two PN classes while the PMSA003 sensor provides six PN classes. The PM2.5 model based on PMtotal-Dylos shows comparable performance with the model based on PM2.5-Dylos. The PM10 model based on PMtotal-Dylos shows better performance compared to the model based on PM10-Dylos. Both models for PM10 show very bad slopes, which could mean too low sensitivity of the Dylos sensor for particles >2.5  $\mu m$ .

A graphical presentation of the MLR models from table 3 are given in figure 17 for PM2.5 and in figure 18 for PM10. For the PMSA003 sensors, only the 9492 is presented.

Figure 17: MLR models PM2.5 against BAM1020 with "PN+RH+T" as X-variables





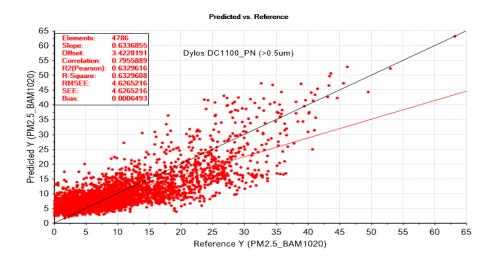
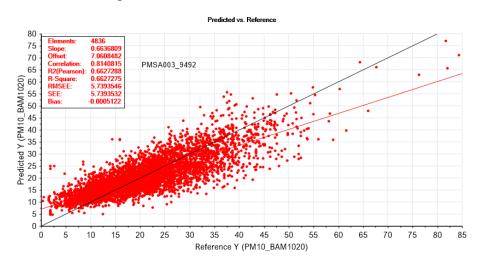
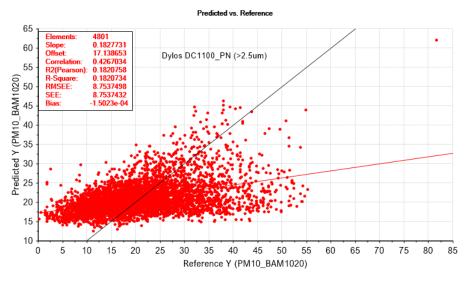
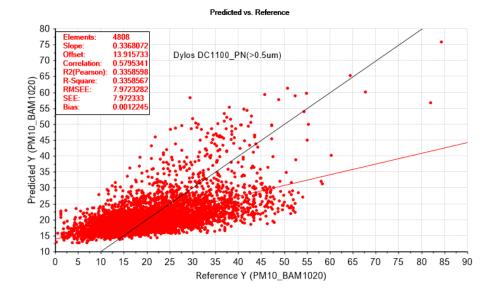


Figure 18: MLR models PM10 against BAM1020 with "PN+RH+T" as X-variables







## 8.3 Improvement of MLR model performance by ASTM D6708

In general the slope and fitting of a (multivariate) calibration model can be investigated for improvement by use of ASTM D6708 (standard practice for statistical assessment and improvement of expected agreement between two test methods that purport to measure the same property of a material). This is achieved by the use of a mathematical post processing on the output of the model. The ASTM D6708 assessment can be considered as an extra part of the calibration process of the models.

The following post processing options (classes) can be calculated:

- 1. Class 0 Y =X (complete equality, no improvement needed)
- 2. Class 1a Y = X + b (weighed constant)
- 3. Class 1b Y = X \* b (weighed proportional)
- 4. Class 2 Y = aX + b (weighed linear)

Where X is the output of the calibration model and Y is the output of the post processing according to ASTM D6708.

ASTM D6708 is investigated for the PM2.5 model for sensor PMSA003\_8F33 based on "PN+RH+T". The available method to calculate the ASTM D6708 improvements could manage a maximum of 2000 samples. The 8F33 model has 2093 samples and therefore 93 samples with the lowest PM2.5 values were removed from the set. The lowest samples are far below the minimum detection limit of the BAM1020 monitor and are therefore not important for the model. The conclusion of ASTM D6708 is that the model can be improved by use of a Class 2 post calculation. The function of this Class 2 model is Y = 1.184X – 1.3685.

In figure 19 the outcome of the different classes is presented. In figure 20 the Y-residuals versus level is presented for the different classes. The 'LAB' value is equal to the BAM1020 monitor value, the X-axis is the BAM1020 value and the Y-axis is the model value. The best slope, fitting and residual distribution is achieved by the Class 2 post calculation. It must be notified that the Class2 post calculation increases the model standard error from 3.0  $\mu$ g/m³ to 3.2  $\mu$ g/m³. The Class 0 shows an under prediction effect of the model for PM concentrations > 35  $\mu$ g/m³ and an over prediction effect for PM concentrations < 10  $\mu$ g/m³. There is a so called scissor around 25  $\mu$ g/m³.

The Class2 post calculation neutralizes this scissor effect. The Y-residuals show a more homogeneous distribution around the different levels of the model after a Class 2 post calculation.

Figure 19: Outcome of ASTM D6708 improvement on PM2.5 model PMSA003\_8F33 "PN+RH+T"

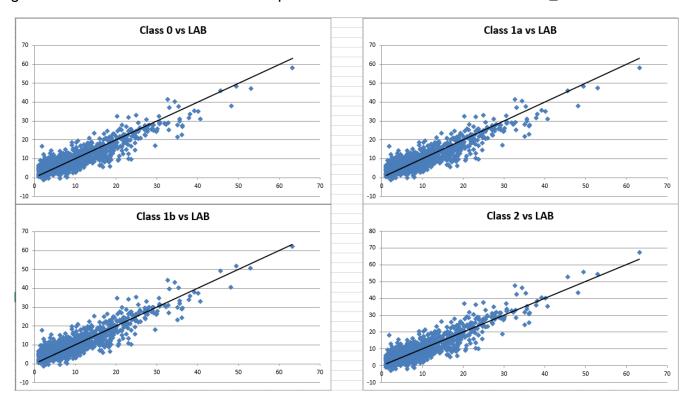
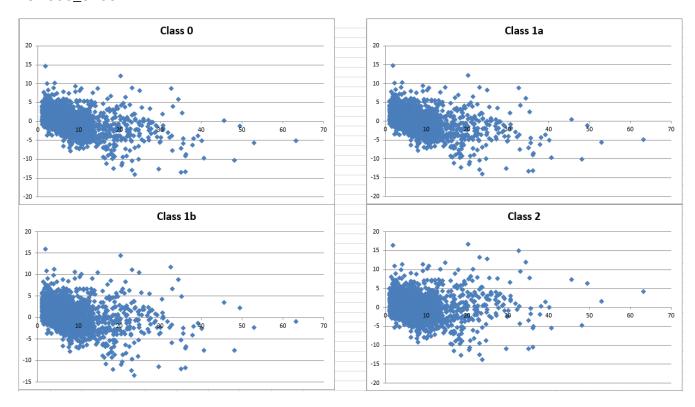


Figure 20: Residuals versus level of different ASTM D6708 calculations on PM2.5 model PMSA003\_8F33 "PN+RH+T"



The work out of the MLR model (PM2.5, based on "PN+RH+T") inclusive the Class 2 post calculation is investigated for the PMSA003\_8F33 sensor. The validation set (N=419) is used for this investigation. The PM2.5 results retrieved by the MLR model and the MLR model + Class2 post calculation are compared with the BAM1020 monitor values (figure 21). Also the PM2.5 (Y) -

residuals for the MLR model and the MLR model + Class2 post calculation are compared (figure 22).

Figure 21: PM2.5 BAM1020, MLR, (MLR+Class2) for PMSA003\_8F33 Validation Set.

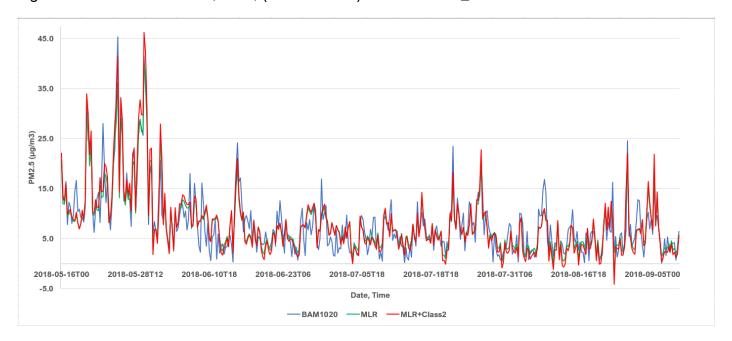
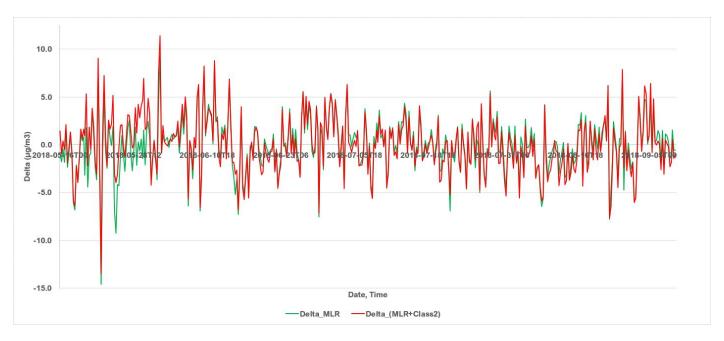


Figure 22: Difference PM2.5 versus BAM1020 for MLR, (MLR+Class2) for PMSA003\_8F33 Validation Set



The bias and standard deviation in the differences between the MLR model and BAM1020 on the total validation set are respectively -0.09  $\mu$ g/m³ and 2.84  $\mu$ g/m³. For the "MLR+Class2" model the values are respectively 0.00  $\mu$ g/m³ and 2.98  $\mu$ g/m³. The Class2 post calculation reduces the bias to zero but increases the standard deviation with 0.12  $\mu$ g/m³.

For this investigation, it can be concluded that the ASTM D6708 post calculation does not significantly improve the model performance. However, it is recommended to investigate for the other MLR models if the ASTM D6708 post calculation could improve the model performance.

#### 8.4 Validation MLR models

As discussed in 8.2, the MLR calibration models are validated with an independent validation set to test the accuracy and robustness. The hourly sensor observations of the validation set are used to predict PM2.5 and PM10 by the calibration models and the predicted results are compared with the BAM1020 monitor values.

The accuracy of the validation (SEP: Standard Error of Prediction) is tested against the accuracy of the calibration model (SEC: Standard Error of Calibration). The bias in the validation is tested against the SEP validation. The numbers of samples in the calibration- and validation sets are very big, therefore it makes no sense to use statistical tests for evaluation of the accuracy and bias e.g. the F-test for accuracy and t-test for bias.

The other parameters of the validation are used to check if the calibration model is robust for independent sensor observations.

The validation results for the MLR models based on "PM+RH+T" are given in table 7. The validation results for the MLR models based on "PN+RH+T" are given in table 8.

Table 7: Validation results MLR models based on "PM+RH+T"

	PMSA003_8F33		PMSA003_9290		PMSA00	03_9492	SDS01:	1_basic	SDS011_Luftdaten	
	PM2.5 PM10		PM2.5 PM10		PM2.5	PM2.5 PM10		PM2.5 PM10		PM10
N	420	422	967	968	968	970	981	981	972	974
Range (µg/m3)	0.1-45.4	2.8-60.3	0.1-50.9	1.0-60.3	0.1-50.9	1.0-60.3	0.1-55.4	1.0-66.7	0.1-55.4	1.0-66.7
Slope	0.762	0.419	0.778	0.374	0.782	0.378	0.763	0.485	0.707	0.426
Offset (µg/m3)	1.82	11.67	1.97	13.02	1.92	13.15	2.20	10.98	2.75	12.37
R-square	0.765	0.399	0.787	0.358	0.793	0.354	0.765	0.485	0.712	0.394
Accuracy (µg/m3)	3.2	7.7	3.6	7.7	3.6	7.8	4.0	7.1	4.4	7.7
BIAS (µg/m3)	-0.1	-0.2	-0.2	0.0	-0.2	0.2	-0.1	0.2	-0.1	0.3

#### Explanation of the parameters:

N : number of observations

• Range : the min-max range of the model

• Slope : steepness of the model regression line

Offset : cut off on the Y-axis

• R-square : correlation coefficient of the regression line (R<sup>2</sup>-pearson)

Accuracy : Root Mean Square Error of Prediction (RMSEP)

• B0 : Constant

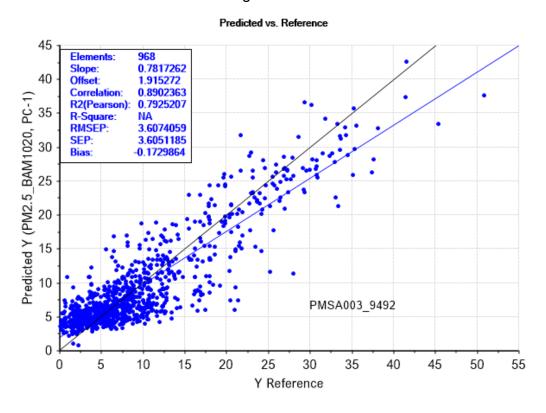
The accuracy of all validations are good in line with the accuracy of the calibration models. The validation accuracy for the PMSA sensors is better for PM2.5 compared to the SDS011 sensors, but for PM10 they are comparable among all sensors. The bias of all validations is < 10% of the accuracy of the validations and is therefore not significant. The slope of the validations for PM2.5 are good and for PM10 the slopes are weak.

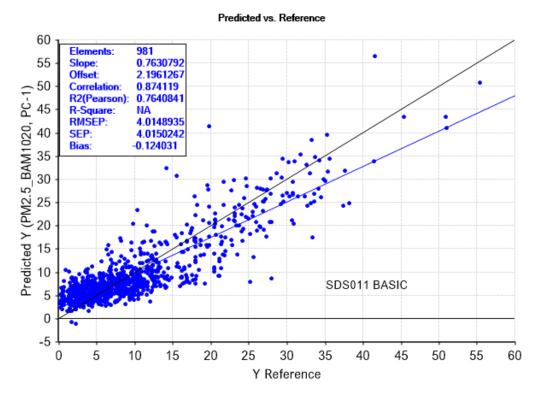
The offsets of all PM2.5 models are within the minimum detection limit of 4  $\mu$ g/m³ of the BAM1020 monitor. The offsets of all PM10 models are higher than the minimum detection limit of 4  $\mu$ g/m³ of the BAM1020 monitor.

The R<sup>2</sup> values are comparable with the slope values. The conclusion is clear that PM10 is hard to model against the BAM1020 based on "PM+RH+T" as X-variables. This could possibly be improved with other regression techniques.

A graphical presentation of the validations from table 7 are given in figure 23 for PM2.5 and in figure 24 for PM10. For the PMSA003 sensors, only the 9492 is presented. For all MLR validations the black line is the target line (Y=X) and the blue line is the slope. The X-axis (Y Reference) is the BAM1020 value, the Y-axis (Predicted Y) is the MLR model value.

Figure 23: Validation of MLR models PM2.5 against BAM1020 with "PM+RH+T" as X-variables





Visibilis: Calibration and Validation of Particulate Matter Sensors

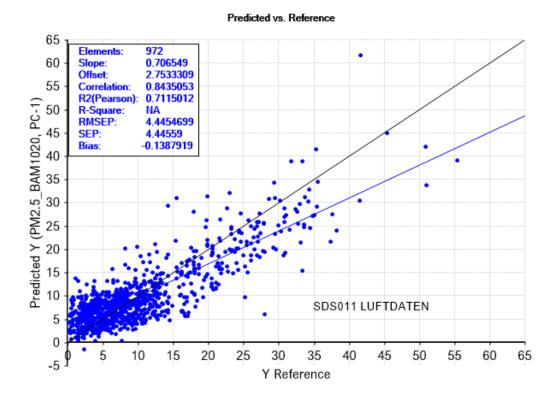
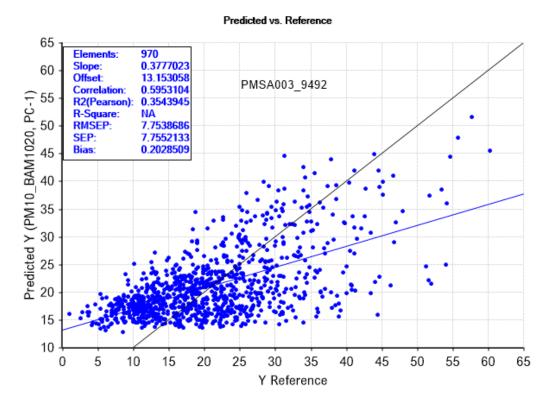
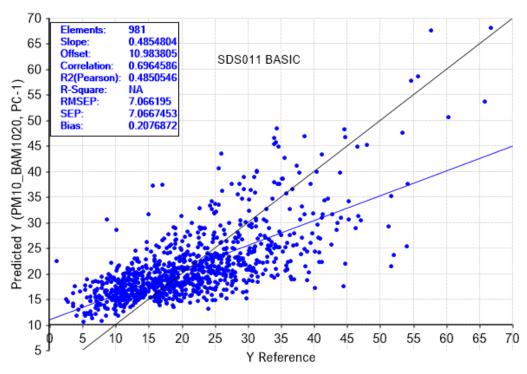
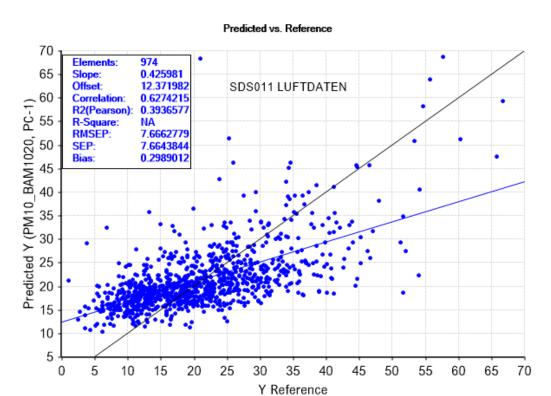


Figure 24: Validation of MLR models PM10 against BAM1020 with "PM+RH+T" as X-variables







Tabel 8: Validation results MLR models based on "PN+RH+T"

	PMSA003_8F33 PN		PMSA003_9290 PMSA003_9492		Dylos_DC11	00	Dylos_DC1100 (>0.5μm)			
	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5 (>0.5μm->2.5μm)	PM10 (>2.5μm)	PM2.5	PM10
N	420	422	968	970	968	970	962	963	962	964
Range (µg/m3)	0.1-45.4	2.8-60.3	0.1-50.9	1.0-60.3	0.1-50.9	1.0-60.3	0.2-50.9	2.5-60.3	0.2-50.9	1.0-60.3
Slope	0.799	0.676	0.831	0.651	0.831	0.654	0.623	0.183	0.614	0.340
Offset (µg/m3)	1.52	6.77	1.42	7.35	1.39	7.23	3.38	17.12	3.45	13.86
R-square	0.818	0.645	0.851	0.615	0.85	0.621	0.646	0.183	0.641	0.342
Accuracy (µg/m3)	2.8	5.9	3.1	6.0	3.1	5.9	4.7	8.7	4.8	7.8
BIAS (µg/m3)	-0.1	0.2	-0.2	0.1	-0.2	0.0	-0.2	0.1	-0.2	0.1

The accuracy of all validations are good in line with the accuracy of the calibration models. The validation accuracy for both PM2.5 and PM10 for the PMSA003 sensors is significantly better compared to the Dylos DC1100 sensor.

The slope of the validations for PM2.5 are good for the PMSA003 sensors and moderate for the Dylos DC1100 sensor. The slope of the validations for PM10 are moderate for the PMSA003 sensors and very weak for the Dylos DC1100 sensor.

The validation of the Dylos DC1100 sensor also proofs that the PM10 model is very weak in performance.

The offsets of all PM2.5 models are within the minimum detection limit of 4  $\mu$ g/m³ of the BAM1020 monitor. The offsets of all PM10 models are higher than the minimum detection limit of 4  $\mu$ g/m³ of the BAM1020 monitor.

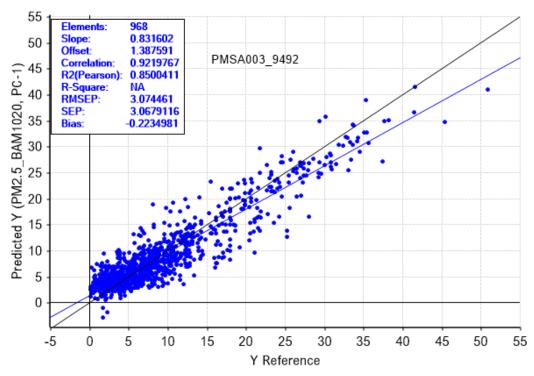
The R<sup>2</sup> values are comparable with the slope values. The bias of all validations is < 10% of the accuracy of the validations and is therefore not significant.

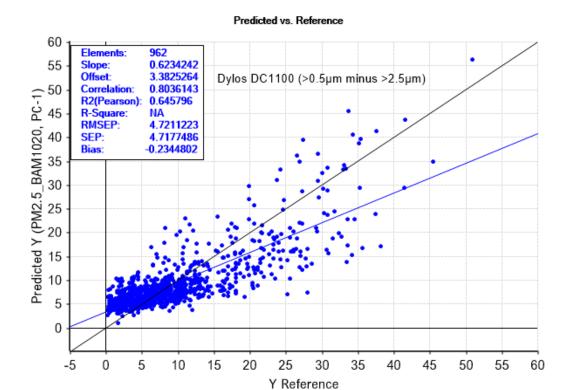
The overall performance of the validations for the PMSA003 MLR models based on "PN+RH+T" is better compared to the MLR models based on "PM+RH+T". It looks like that information gets lost due to the internal PMSA algorithm converting the PN classes to PM values. This information is of importance and used by the MLR models based on "PN+RH+T".

The validation results for the Dylos DC1100 sensor show that the performance for the PM2.5 model is not improved by choosing the particles  $>0.5\mu m$  instead of  $(>0.5\mu m ->2.5\mu m)$ . However, the PM10 model based on particles  $>0.5\mu m$  shows an improved performance compared to the model based on particles  $>2.5\mu m$ .

A graphical presentation of the validations from table 8 are given in figure 25 for PM2.5 and in figure 26 for PM10. For the PMSA003 sensors, only the 9492 is presented.

Figure 25: Validation MLR models PM2.5 against BAM1020 with "PN+RH+T" as X-variables





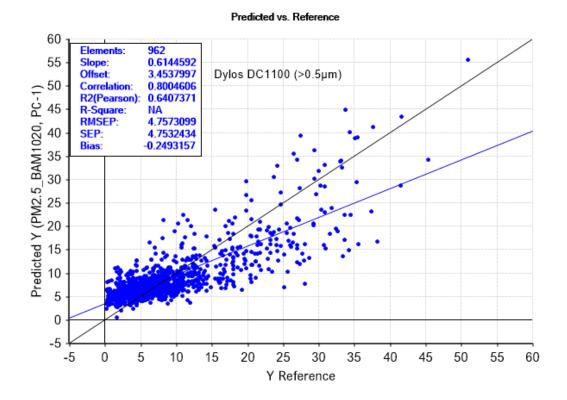
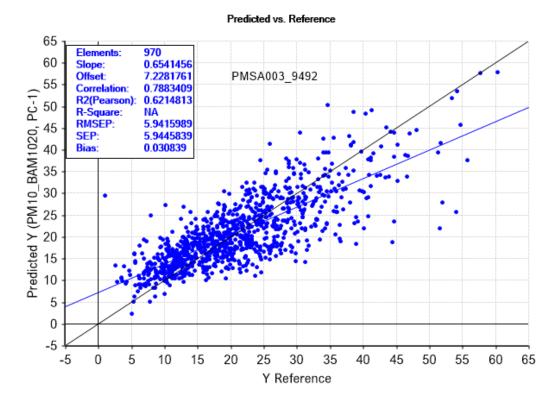
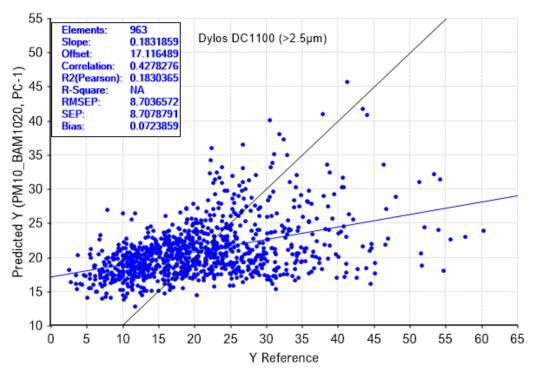
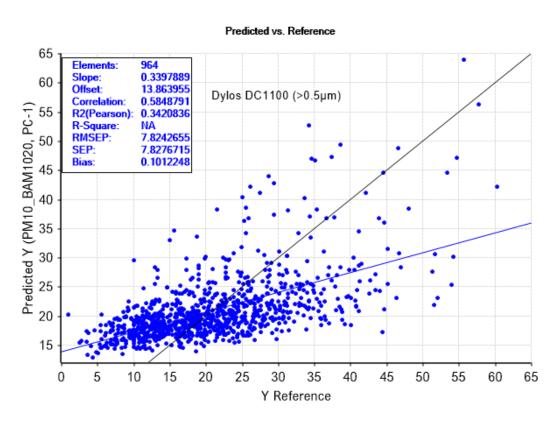


Figure 26: Validation of MLR models PM10 against BAM1020 with "PN+RH+T" as X-variables







# 8.5 MLR model transfer between Plantower sensors

The models are developed per sensor and are also validated per sensor. It has been investigated to what extent the models are transferable between the Plantower sensors, because three of this type of sensor have been calibrated. Transferability has been assessed on the validation results of the model transferred from sensor PMSA003\_9492 to sensors PMSA003\_9290 and PMSA003\_8F33. This is done for PM2.5 and PM10 based on "PM+RH+T" and "PN+RH+T". The validation results after the transfer are compared with the primary validation results of the individual models for sensor PMSA003\_9290 and PMSA003\_8F33.

The results for the models based on "PM+RH+T" are given in table 9 and for "PN+RH+T" in table 10.

Table 9: Validation results transfer of PMSA003\_9492 models "PM+RH+T" to other PMSA003 sensors

	PMSA003_9290	via 9492 model	PMSA003_8F33 via 9492 model			
	PM2.5	PM10	PM2.5	PM10		
N	967	969	420	422		
Range (µg/m3)	0.1-50.9	1.0-60.3	0.1-45.4	2.8-60.3		
Slope	0.679	0.316	0.725	0.308		
Offset (µg/m3)	1.7	13.29	2.24	14.56		
R-square	0.786	0.351	0.763	0.376		
Accuracy (μg/m3)	4.0	7.8	3.2	7.9		
BIAS (μg/m3)	-1.4	-0.9	0.0	0.5		

The validation results for sensor PMSA003\_9290 are comparable with the primary validation results as given in table 7, except for the bias which is >10% of the accuracy and therefore significant. The validation results for sensor PMSA003\_8F33 are comparable with the primary validation results as given in table 7.

Table 10: Validation results transfer of PMSA003\_9492 models "PN+RH+T" to other PMSA003 sensors

	PMSA003_9290	via 9492 model	PMSA003_8F33 via 9492 model			
	PM2.5	PM10	PM2.5	PM10		
N	968	970	420	422		
Range (µg/m3)	0.1-50.9	1.0-60.3	0.1-45.4	2.8-60.3		
Slope	0.911	0.701	-0.218	-0.243		
Offset (µg/m3)	0.35	4.43	-2.65	4.00		
R-square	0.803	0.492	NA	NA		
Accuracy (µg/m3)	3.7	7.4	8.5	13.3		
BIAS (µg/m3)	-0.5	-1.8	-12.4	-21.3		

The validation results for sensor PMSA003\_9290 are not as good as the primary validation results as given in table 8. However, for PM2.5 the slope and  $R^2$  are good and the accuracy is just 0.6  $\mu$ g/m3 worse compared to the primary validation. The biases are >10% of the accuracy and are therefore significant.

The validation results for sensor PMSA003\_8F33 are extremely bad. According to table 3, the correlations of the PN classes between sensor PMSA003\_9290 and PMSA003\_9492 show comparable sensitivity but the correlations between PMSA003\_9492 and PMSA003\_8F33 show much more sensitivity for the latter sensor. This has a high impact on the validation results.

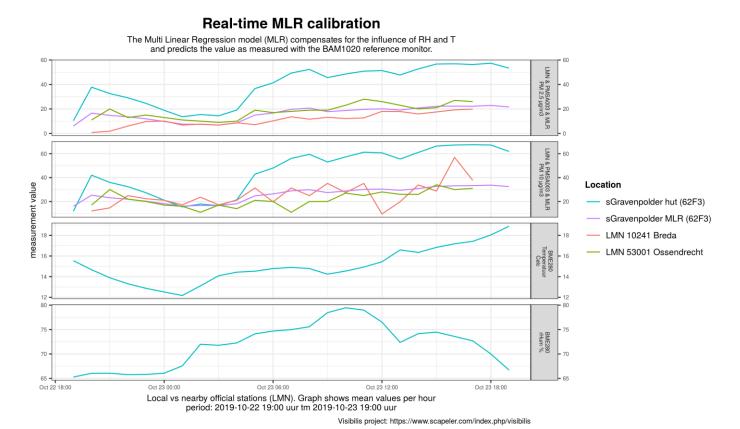
Based on the results of table 9 and 10 it can be concluded that the transferability of the models based on "PM+RH+T" gives better performance compared to the "PN+RH+T" models. A possible improvement of the transferability of the "PN+RH+T" models could be a standardization of the PN classes. This will be discussed in 8.6.

# 8.5.1 Real-time experiment model transfer PMSA003 sensor

The PM2.5- and PM10 MLR model developed for the PMSA003\_9492 sensor and based on "PM+RH+T" is transferred to a live PMSA003 sensor. The raw PM2.5- and PM10 values of the sensor are compared with the MLR predicted values and with the official PM2.5- and PM10 monitors at two RIVM locations. The MLR models are applied on the 10th of October on a PMSA003 sensor (62F3) active at location 's-Gravenpolder in Zeeland. The RIVM locations are 'Ossendrecht' and 'Breda', respectively 40km and 90km east from 's-Gravenpolder.

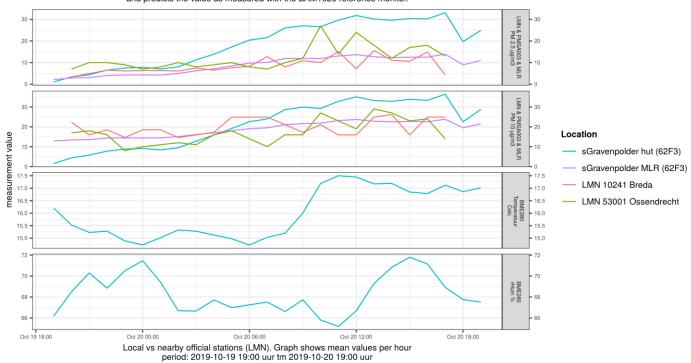
The results of this experiment are presented in the graphs below. The graphs shows the hourly averaged values for PM2.5, PM10, temperature and relative humidity for different days. The blue line is the raw PM sensor output, the lilac line is the PM prediction by the MLR model. The URL to the real time graph is <a href="https://openiod.org/SCAPE604/images/R/apri-sensor/aprisensor\_sgravenpolder-mlr.png">https://openiod.org/SCAPE604/images/R/apri-sensor\_sgravenpolder-mlr.png</a>.

The results can be influenced by temporary increases from local sources such as wood-burning stoves. Larger deviations may then occur temporarily with the official monitors. The general picture shows that the post-calculation using the MLR model for both PM2.5 and PM10, the sensor value comes closer to the official monitor value.



#### **Real-time MLR calibration**

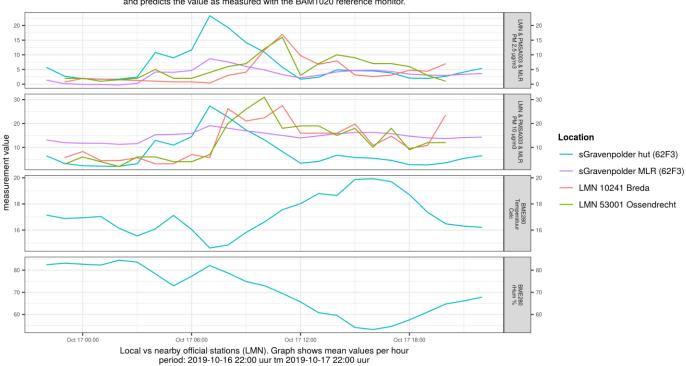
The Multi Linear Regression model (MLR) compensates for the influence of RH and T and predicts the value as measured with the BAM1020 reference monitor.



Visibilis project: https://www.scapeler.com/index.php/visibilis

### **Real-time MLR calibration**

The Multi Linear Regression model (MLR) compensates for the influence of RH and T and predicts the value as measured with the BAM1020 reference monitor.



Visibilis project: https://www.scapeler.com/index.php/visibilis

#### Real-time MLR calibration

The Multi Linear Regression model (MLR) compensates for the influence of RH and T and predicts the value as measured with the BAM1020 reference monitor.

Location

— sGravenpolder MLR (62F3)
— LMN 10241 Breda
— LMN 53001 Ossendrecht

Visibilis project: https://www.scapeler.com/index.php/visibilis

# 8.6 <u>Standardization of the MLR Calibration Model based on particle</u> numbers

Local vs nearby official stations (LMN). Graph shows mean values per hour period: 2019-10-30 19:00 uur tm 2019-10-31 19:00 uur

The overall performance of the calibrations and validations for the PMSA003 MLR models based on "PN+RH+T" is better compared to the MLR models based on "PM+RH+T". However, transferred models based on "PM+RH+T" give better validation performance compared to the "PN+RH+T" models. The success rate of the model transfer can possibly be improved by standardization of the PN classes to a specific 'reference sensor'. For this experiment, sensor PMSA003\_9492 is chosen as the reference. The PN classes of the other two PMSA003 sensors are recalculated by use of the parameters as given in table 3. After standardization of the PN classes, the MLR models constructed for sensor PMSA003\_9492 can be applied on the other two PMSA003 sensors.

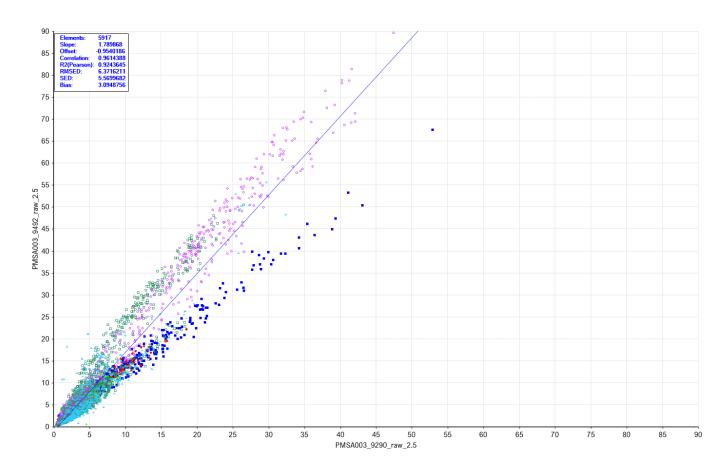
The recalculation is implemented by applying the linear regression coefficients slope and offset from table 3 on the PN classes of the sensors to be standardized against sensor PMSA003\_9492.

As an example, the standardization of PN class 2.5µm of sensor PMSA003\_9290 to PMSA003\_9492 is implemented as follows:

PN class 2.5µm\_PMSA003\_9492 = 1.790 \* PN class 2.5µm\_PMSA003\_9290 - 0.95

The slope and offset in this calculation are derived from the correlation plot as given in figure 27

Figure 27: Correlation plot PN Class 2.5µm between PMSA003\_9290 and PMSA003\_9492



After standardization of the PN classes of sensor PMSA003\_8F33 and PMSA003\_9290 the validation of the two sensors is carried out by use of the "PN+RH+T" model calibrated for sensor PMSA003\_9492. The validation results after standardization are compared with both the primary validation of the sensors as given in table 8 and the validation results without standardization as given in table 10. An overview of the results is given in table 11. A graphical presentation of the validations after PN standardization is given in figure 28.

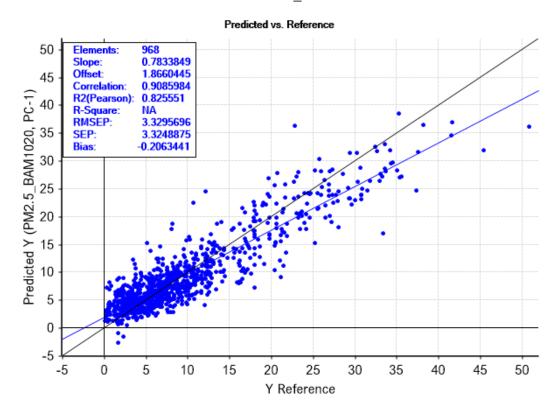
Table 11: Comparison of the primary validation results of the "PN+RH+T" models for sensors PMSA003\_8F33 and PMSA003\_9290 with model transfer and after PN standardization

	PMSA003_8F33 primary validation		PMSA003_8F33 model transfer		PMSA003_8F33 PN standardization		PMSA003_9290 primary validation		PMSA003_9290 model transfer		PMSA003_9290 PN standardization	
	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10	PM2.5	PM10
N	420	422	420	422	420	422	968	970	968	970	968	970
Range (µg/m3)	0.1-45.4	2.8-60.3	0.1-45.4	2.8-60.3	0.1-45.4	2.8-60.3	0.1-50.9	1.0-60.3	0.1-50.9	1.0-60.3	0.1-50.9	1.0-60.3
Slope	0.799	0.676	-0.218	-0.243	0.81	0.660	0.831	0.651	0.911	0.701	0.783	0.554
Offset (µg/m3)	1.52	6.77	-2.65	4.00	1.55	7.63	1.42	7.35	0.35	4.43	1.87	9.34
R-square	0.818	0.645	NA	NA	0.765	0.571	0.851	0.615	0.803	0.492	0.826	0.526
Accuracy (µg/m3)	2.8	5.9	8.5	13.3	3.2	6.6	3.1	6.0	3.7	7.4	3.3	6.7
BIAS (µg/m3)	-0.1	0.2	-12.4	-21.3	0.0	0.7	-0.2	0.1	-0.5	-1.8	-0.2	0.1

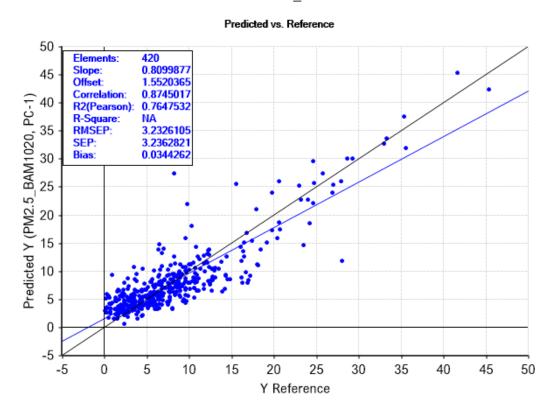
Based on the data from table 11, it can be concluded that the validation results after standardization correspond well with the primary validations of the models. The accuracy after standardization is slightly poorer, but the bias is <10% of the accuracy and therefore not significant. The results show that standardization of the PN classes can be a good alternative if one wants to use a model calibrated with the particle classes of one particular 'reference' sensor.

Figure 28: Validation of the "PN+RH+T" PM2.5 models for sensor PMSA003\_9290 and PMSA003\_8F33 after standardization of the PN classes

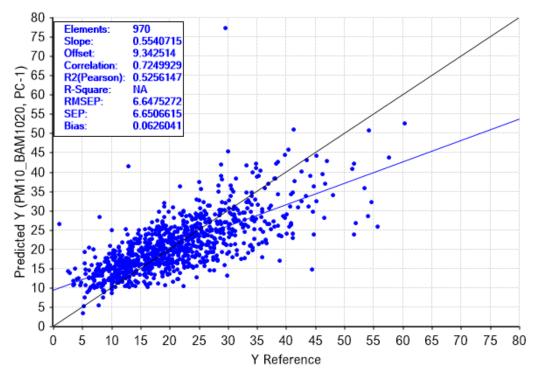
Validation PM2.5 sensor PMSA003\_9290 after PN standardization



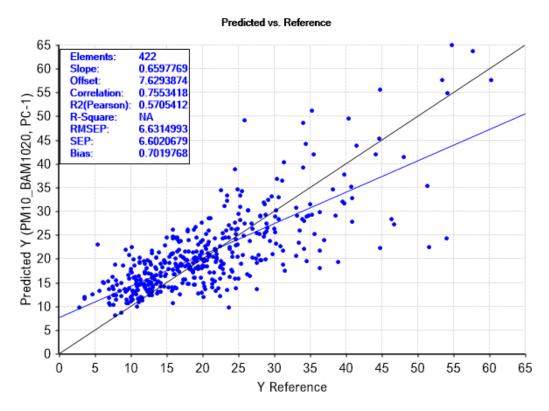
# Validation PM2.5 sensor PMSA003\_8F33 after PN standardization



Validation PM10 sensor PMSA003\_9290 after PN standardization



# Validation PM10 sensor PMSA003\_8F33 after PN standardization



# 9 Conclusions & Recommendations

The reliability of relatively cheap particulate matter (PM) sensors can be improved by using the multiple linear regression (MLR) technique. The PM value from the sensor is read in by a model and the output of the model is the prediction for the PM value as it was measured by the official monitor Met One BAM1020. The MLR models are calibrated separately for PM2.5 and PM10. As input variables the PM value, relative humidity (RH) and temperature (T) are used. For the PMSA003 sensor also the particle number concentrations (PN), RH and T are used. For the Dylos DC1100 sensor, only PN, RH and T could be used.

It is well known that PM sensors with laser technology are sensitive to mainly relative humidity and to less extend the temperature of the ambient air. The MLR model corrects the calibrated PM value for the temperature and relative humidity of the ambient air, which further improves the reliability of the PM sensor. The input for temperature and relative humidity can be provided by sensors that are mounted together with the PM sensor in the same measuring box.

The accuracy of the calibration models for the PMSA003- and SDS011 sensors is 4  $\mu$ g/m³ for PM2.5 and 8  $\mu$ g/m³ for PM10. The accuracy of the PM2.5 models is good in line with the reproducibility of 4  $\mu$ g/m³ of the BAM1020 monitor. The PM10 calibration models show an overall bad performance, possibly due to lack of sensitivity for particles >2.5  $\mu$ m. The validation of the models show an accuracy of 4  $\mu$ g/m³ for PM2.5 and 8  $\mu$ g/m³ for PM10.

# **Improvement of the model**

The accuracy of the MLR calibration models can be further improved by performing the calibration on the PN concentrations. This is only possible for the PMSA003- and Dylos DC1100 sensors where the Dylos DC1100 sensor only supports PN. The accuracy of the calibration models for the PMSA003 sensors is 3  $\mu$ g/m³ for PM2.5 and 6  $\mu$ g/m³ for PM10. For the Dylos DC1100 sensor the accuracies are respectively 5  $\mu$ g/m³ for PM2.5 and 9  $\mu$ g/m³ for PM10. The validation of the models for the PMSA003 sensor show an accuracy of 3  $\mu$ g/m³ for PM2.5 and 6  $\mu$ g/m³ for PM10. The validation of the models for the Dylos DC1100 sensor shows an accuracy of 5  $\mu$ g/m³ for PM2.5 and 8  $\mu$ g/m³ for PM10.

The reliability of the MLR model can be further improved by applying ASTM D6708. This option has been investigated for PM2.5 of one PMSA003 sensor with PN, RH and T as input variables. The ASTM D6708 application reduces the bias to zero with a small increase in the model error. It is recommended to investigate the application of ASTM D6708 for multiple sensors. The ASTM D6708 application is an arithmetic post calculation and must be seen as a correction to the fitting of the model.

# Transferability of the model

The transferability of the MLR model has been investigated for the PMSA003 sensors for PM, RH and T as well as PN, RH and T as input variables. Transferability is successful for the MLR model based on PM, RH and T where independent validation has shown that the accuracy remains comparable to the primary validation. The transferability of the MLR model based on PN, RH and T is not successful and is caused by large differences in sensitivity to PN between the sensors.

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The transferability of the MLR model based on PN, RH and T can be improved by standardizing the PN classes. This option has been investigated for the PMSA003 sensors, whereby the PN classes are standardized against one specific sensor. It has been demonstrated through independent validation that the accuracy through standardization is comparable to the primary validation of the sensors.

Calibration, standardization and model transfer of a MLR model based on PN, RH and T produces a more accurate prediction. However, a disadvantage of this process is that one specific sensor must be available against which the standardization of other sensors can be performed. Calibration and transfer of a MLR model based on PM, RH and T produces a less accurate prediction. An advantage of this process is that it can be applied directly on other sensors of the same kind.

# **Recommendations**

Model transfer has not been investigated for the SDS011 sensor, but it is recommended to perform this in a follow-up investigation.

It is strongly recommended to re-calibrate and re-validate the MLR models under extended meteorological conditions. The conditions must be chosen in a way that they are outside the ranges as have been used for this project.

The Visibilis project has been carried out at one location, close to the sea and in the vicinity of industry and shipping. It is strongly recommended to repeat the project at other locations, preferably inland, but also at locations where other particulate matter sources are present. By repeating the project at different locations, the models can be made more robust and accurate, because then more different particulate matter particles are included in the calibration and validation of the models.

It is recommended to test the models over a longer period of time. Sensors can deviate slowly over time and these effects can affect the reliability of the models

# Appendix 1

# Coding and properties of the sensors and official monitors

Code	Monitor/Sensor	Parameter	Unit
PM2.5_BAM1020	BAM1020	PM2.5	μg/m³
PM10_BAM1020	BAM1020	PM10	μg/m³
PM2.5_SDS011	Nova SDS011 basic	PM2.5	μg/m³
PM10_SDS011	Nova SDS011 basic	PM10	μg/m³
PM2.5_SDS011_Luft	Nova SDS011 Luftdaten	PM2.5	μg/m³
PM10_SDS011_Luft	Nova SDS011 Luftdaten	PM10	μg/m³
PM2.5_PMSA003_	Plantower PMSA003	PM2.5	μg/m³
8F33/9290/9492	sensor 1/2/3		
PM10_PMSA003_	Plantower PMSA003	PM10	μg/m³
8F33/9290/9492	sensor 1/2/3		
DylosDC1100>0.5µm	Dylos DC1100	>0.5 µm	Particles
			per 0.01
			cubic foot
DylosDC1100>2.5µm	Dylos DC1100	>2.5 µm	Particles
			per 0.01
			cubic foot
PMSA003_8F33/9290/9492_	Plantower PMSA003	>0.3 µm	Particles
RAW_0.3	sensor 1/2/3		per 0.1 L
PMSA003_8F33/9290/9492_	Plantower PMSA003	>0.5 µm	Particles
RAW_0.5	sensor 1/2/3		per 0.1 L
PMSA003_8F33/9290/9492_	Plantower PMSA003	>1.0 µm	Particles
RAW_1.0	sensor 1/2/3		per 0.1 L
PMSA003_8F33/9290/9492_	Plantower PMSA003	>2.5 µm	Particles
RAW_2.5	sensor 1/2/3		per 0.1 L
PMSA003_8F33/9290/9492_	Plantower PMSA003	>5.0 µm	Particles
RAW_5.0	sensor 1/2/3		per 0.1 L
PMSA003_8F33/9290/9492_	Plantower PMSA003	>10.0 µm	Particles
RAW_10.0	sensor 1/2/3		per 0.1 L
Dallas_EXT_T°C	Dallas external sensor	Temperature	°C
BME280_8F33/9290/9492_T°C	Bosch Meteo sensor 1/2/3	Temperature	°C
BME280_8F33/9290/9492_RH%	Bosch Meteo sensor 1/2/3	Relative	%
		Humidity	
BME280_8F33/9290/9492_PI	Bosch Meteo sensor 1/2/3	Air Pressure	hPa
KNMI_ T°C	KNMI Hoek van Holland	Temperature	°C
KNMI_RH%	KNMI Hoek van Holland	Relative	%
		Humidity	

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